

**ASSESSMENT OF A NEXT GENERATION  
GRAVITY MISSION FOR MONITORING THE  
VARIATIONS OF EARTH'S GRAVITY FIELD**

**WP2420 Mission Architecture  
Definition/Supervision**

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## CHANGE RECORDS

| ISSUE | DATE      | § CHANGE RECORDS                                        | AUTHOR |
|-------|-----------|---------------------------------------------------------|--------|
| draft | July 30   | Draft including figures, but large part of text missing | TR, NS |
| draft | August 10 | Draft with full text                                    | TR, NS |

## **TABLE OF CONTENTS**

|                                                                   |          |
|-------------------------------------------------------------------|----------|
| <b>1. INTRODUCTION.....</b>                                       | <b>4</b> |
| <b>2. DOCUMENTS .....</b>                                         | <b>4</b> |
| 2.1    Applicable Documents .....                                 | 4        |
| 2.2    ESA Reference Documents .....                              | 4        |
| 2.3    Further Reference Documents .....                          | 4        |
| <b>3. QUICK-LOOK-TOOLS.....</b>                                   | <b>5</b> |
| <b>4. SIMULATIONS (SENSITIVITY ANALYSIS) .....</b>                | <b>8</b> |
| 4.1    Investigation of basic parameters .....                    | 8        |
| 4.2    New test cases for laser/accelerometer noise.....          | 16       |
| 4.3    New reference for noise .....                              | 19       |
| 4.4    Laser noise only for different orbit heights .....         | 20       |
| 4.5    Sensitivity of formations .....                            | 21       |
| 4.5.1    Comparison of basic formations .....                     | 22       |
| 4.5.2    Comparison of PENDULUMS .....                            | 23       |
| 4.5.3    Comparison of CARTWHEELS.....                            | 25       |
| 4.5.4    Results from investigations of formations .....          | 27       |
| 4.6    Sensitivity of Bender-design .....                         | 27       |
| 4.6.1    Sensitivity for coloured noise (only GRACE-BENDER) ..... | 27       |
| 4.6.2    Sensitivity for white noise .....                        | 30       |
| 4.7    Single formation versus Bender-design .....                | 33       |

## **1. INTRODUCTION**

In this document the sensitivity of future mission options is investigated. For the sensitivity analysis quick-look tools as described in section 3 were used with which the sensitivity of a mission option can be investigated and represented in terms of different error measures. The quick look tools are based purely on error propagation and thus can not be used for the analysis of (temporal and spatial) aliasing effects of time variable gravity field sources as e.g. ocean tides, short periodic atmospheric and ocean circulation signals and so on. Aliasing has been proven to be a serious error source for GRACE, and for a future mission it will be even more serious since the metrology device and the mission design will be sophisticated compared to GRACE. But it has to be considered that also the time-variable models used for de-aliasing will improve in future so that aliasing effects will be reduced compared to state-of the art investigations. Furthermore the measurements of a future mission might be of such a high quality that they can be used to improve the background models. This means that the sensor noise and the impact of basic mission parameters still are very important future mission drivers. For this reason the impact of basic mission design parameters and the sensor noise is investigated in this document. As soon as the result of the full-scale retrievals computed by DEOS are published the influence of aliasing for the different simulation scenarios defined at the Progress Meeting 2 held at TU Delft at 24 March 2010 are investigated in order to find out which formation or combination of formations shows the least aliasing.

## **2. DOCUMENTS**

### **2.1 Applicable Documents**

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### **2.2 ESA Reference Documents**

- [RD-1] T. van Dam et al., Monitoring and Modelling Individual Sources of Mass Distribution and Transport in the Earth System by Means of Satellites, Final Report, ESA Contract No. 20403, November 2008

### **2.3 Further Reference Documents**

- [RD-2] N. Sneeuw, A Semi-Analytical Approach to Gravity Field Analysis from Satellite Observations, DGK, Reihe C, Dissertationen, Heft Nr. 527, Verlag der Bayerischen Akademie der Wissenschaften, München



### 3. QUICK-LOOK-TOOLS

The semi-analytic quick-look tool (QLT) [RD-2] is an efficient and fast tool for the investigation of the influence of basic parameters on the gravity field accuracy. The influence of the following parameters can be studied:

- measurement type (potential  $V$ , gravitational acceleration (along-track, cross-track, radial), gravitational gradient (SGG, all tensor elements), orbit disturbances (along-track, cross-track, radial), low-low-satellite-tracking (range, range-rate, range-acceleration, only for inline-formations))
- measurement accuracy (as psd (power spectral density))
- orbit height
- inclination
- mission duration (or period)
- maximum spherical harmonic resolution (maximum degree  $L$ )
- intersatellite distance (for low-low-SST)

Under the assumption of a nominal orbit ( $I = I_0$ ,  $r = r_0$ ) a block-diagonal error propagation (order wise with even/odd degree separation) from the observational and stochastic model to gravity field errors can be performed. The following representations of error estimates are used in this study:

- degree-RMS-curves
- triangle plots of the formal errors of the spherical harmonic (SH) coefficients
- geoid error per latitude
- covariance functions (at the equator)

Although the semi-analytic tool offers an efficient tool for sensitivity analysis of a satellite mission, it has to be mentioned that aliasing errors can not be simulated and investigated with this quick-look tool. Therefore full-scale simulations are necessary. Another problem is that only inline low-low-SST missions can be studied with this quick-look tool since no transfer coefficient could be derived for the other formations so far. For the error-propagation of other formation types, another tool was implemented, which will be described later on.

The theory behind the quick-look tool is briefly described in the following passage, for a detailed derivation see [RD-2].

First, the gravitational signal has to be represented along the orbit in Kepler elements. For example, the (complex) Kaula representation of the potential  $V$  along the orbit reads

$$V(r, u, I, \Lambda) = \frac{GM}{R} \sum_l \sum_m \sum_k \left( \frac{R}{r} \right)^{l+1} \bar{F}_{lmk}(I) K_{lm} e^{i(ku+m\Lambda)}$$

with the inclination function  $\bar{F}_{lmk}(I)$  and the complex SH coefficients  $K_{lm}$ . This formula can be expressed as a lumped coefficient representation:

$$V(r, u, I, \Lambda) = \sum_m \sum_k A_{mk}^V(r, I) e^{i(ku + m\Lambda)}$$

$$A_{mk}^V(r, I) = \sum_l \underbrace{\frac{GM}{R} \left(\frac{R}{r}\right)^{l+1} \bar{F}_{lmk}(I) K_{lm}}_{H_{lmk}^V(r, I)}$$

For a nominal circular ( $r = r_0$ ) orbit with constant inclination ( $I = I_0$ ) the transfer coefficient  $H_{lmk}^V(r, I)$  and the lumped coefficient  $A_{mk}^V(r, I)$  become constant and the normal equation gets a orderwise blockdiagonal structure (with an additional separation for even and odd degrees), which can be solved fast and easy by blockwise least squares. The lumped coefficients  $A_{mk}^V(r, I)$  can be obtained by means of a 2D-Fourier transformation from grid-values of the potential  $V(r = r_0, u, I = I_0, \Lambda)$  on the torus-domain ( $u, \Lambda$ ) or from a 1D-Fourier transformation of the potential  $V$  along the (repeat) orbit. Analogously to the potential  $V$  an arbitrary generic gravitational functional can be represented along the orbit as

$$f(t) = f(u(t), \Lambda(t), r(t), I(t)) = \sum_l \sum_m \sum_k H_{lmk}^f K_{lm} e^{i\psi_{mk}(t-t_0)}$$

with the frequency  $\dot{\psi}_{mk} = k\dot{u} + m\dot{\Lambda}$  and the corresponding transfer coefficient  $H_{lmk}^f$ . Again the lumped coefficient representation reads for a nominal orbit

$$f(t) = \sum_m \sum_k A_{mk}^f e^{i\psi_{mk}(t-t_0)}$$

$$A_{mk}^f = \sum_l H_{lmk}^f K_{lm}$$

In our case, we are not interested in the solution for the SH coefficients but in their accuracy (variance-covariance matrix  $\mathbf{Q}_{\hat{x}}$ ), which can be estimated by means of blockwise (per order  $m$ , even/odd degree separation) variance-covariance propagation  $\mathbf{Q}_{\hat{x}} = \left(\sum_i \mathbf{A}_i^T \mathbf{Q}_{y_i}^{-1} \mathbf{A}_i\right)^{-1}$  from the variance-covariance matrix  $\mathbf{Q}_{y_i}$  of the observations. The design matrix  $\mathbf{A}$  is composed by the transfer coefficients  $H_{lmk}^f$  and the variance-covariance matrix  $\mathbf{Q}_{y_i}$  of the corresponding block can easily be derived as a diagonal matrix from the *psd* of the functional  $f$ . Here the *psd*-value belonging to the frequency  $\dot{\psi}_{mk} = k\dot{u} + m\dot{\Lambda}$  of the lumped coefficient  $A_{mk}^f$  has to be inserted. From the estimated variance-covariance matrix the error measures used for visualisation are derived. From the diagonal of  $\mathbf{Q}_{\hat{x}}$ ,  $\text{diag}(\mathbf{Q}_{\hat{x}})$ , directly the variances  $\sigma_{lm}^2$  of the SH coefficients are obtained, which can be transformed in degree-RMS representation by

$$RMS_l = \sqrt{\frac{\sum_m c_{lm}^2 + s_{lm}^2}{2l+1}}$$

By means of error propagation  $\mathbf{Q}_{\hat{x}} = \mathbf{B} \mathbf{Q}_x \mathbf{B}^T$  further error measures, e.g. spatial covariance functions  $\sigma_N(\theta)$  or  $C_{\Delta g}(\theta_1, \theta_2, \Delta\Lambda)$  can be derived.

The transfer coefficient  $H_{lmk}^\rho$  of low-low-SST for an inline-formation (leader-follower) can be computed from the transfer coefficients of the along-track orbit perturbations

$$H_{lmk}^{\Delta x} = R \left( \frac{R}{r} \right)^{l-1} \left[ i \frac{2(l+1)\beta_{mk} - k(\beta_{mk}^2 + 3)}{\beta_{mk}^2(\beta_{mk}^2 - 1)} \right] \bar{F}_{lmk}(I)$$

as  $H_{lmk}^{\rho} \approx 2i \sin(\eta \beta_{mk}) H_{lmk}^{\Delta x}$  with  $\sin \eta = 0.5 \rho_0 / r$ . The set of transfer coefficients for range, range-rate and range-acceleration is obtained by differentiation :

$$\begin{aligned} \rho(t) &\rightarrow \dot{\rho}(t) \rightarrow \ddot{\rho}(t) \\ H_{lmk}^{\rho} &\rightarrow H_{lmk}^{\dot{\rho}} = i n \beta_{mk} H_{lmk}^{\rho} \rightarrow H_{lmk}^{\ddot{\rho}} = -n^2 \beta_{mk}^2 H_{lmk}^{\rho} \end{aligned}$$

Since low-low SST can also be interpreted as line-of-sight grsdiometry, a relation between the transfer coefficients for range-acceleration and for the along-track SSG-component can be found :

$$\lim_{\rho_0 \rightarrow 0} \frac{H_{lmk}^{\ddot{\rho}}}{\rho_0} \approx H_{lmk}^{xx}$$

Since up to now no (time invariant) low-low SST transfer coefficient for the other formations could be found, another strategy was used for the formal error simulation of the formations. This formation-quick-look-tool can be regarded as some kind of pseudo-quick-look-tool and is based on the formulation of the equation for range-accelerations :

$$\ddot{\rho}(t) - \frac{1}{\rho(t)} \left( (\Delta \dot{X}_1(t))^2 - \dot{\rho}^2(t) \right) = e_1^2 (\text{grad} V(\mathbf{X}_2(t)) - \text{grad} V(\mathbf{X}_1(t)))$$

The designmatrix is composed from the right hand side of this equation. The needed positions of the two satellites  $\mathbf{X}_2(t)$ ,  $\mathbf{X}_1(t)$  are computed by

- 1) computation of circular ( $\beta/a$ )-repeat orbits ( $I = I_0$ ,  $r = r_0$ ) for the center  $(\mathbf{X}_2 + \mathbf{X}_1)/2$  of both satellites
- 2) computation of the relative movement of the two satellites by means of the homogeneous solution of the Hill-equations:

$$x(t) = -2A \sin(nt + \alpha) - \frac{3}{2} n t z_{\text{off}} + x_{\text{off}}$$

$$y(t) = B \cos(nt + \beta)$$

$$z(t) = A \cos(nt + \alpha) + z_{\text{off}}$$

with the initial conditions

$$\begin{aligned} A &= \frac{1}{n} \sqrt{\dot{z}_0^2 + (2\dot{x}_0 + 3nz_0)^2} & \tan \alpha &= \frac{\dot{z}_0}{2\dot{x}_0 + 3nz_0} & x_{\text{off}} &= x_0 - \frac{2}{n} \dot{z}_0 \\ B &= \frac{1}{n} \sqrt{\dot{y}_0^2 + (ny_0)^2} & \tan \beta &= \frac{\dot{y}_0}{ny_0} & z_{\text{off}} &= \frac{2}{n} (\dot{x}_0 + 2nz_0) \end{aligned}$$

The following initial elements have to be chosen for the formations (start point at  $t_0$  is over the equator):

- inline (leader-follower, GRACE-like):  $x_0 = \rho$
- Pendulum:  $x_0 = \rho_x$ ,  $y_0 = \rho_y$  (along-track distance  $\rho_x$ , maximum cross-track-distance over equator  $\rho_y$ )

- Cartwheel:  $x_0 = -2\rho_r \sin(\alpha_{CW})$ ,  $z_0 = \rho_r \cos(\alpha_{CW})$ ,  $\dot{x}_0 = -2n\rho_r \cos(\alpha_{CW})$ ,  $\dot{y}_0 = -n\rho_r \sin(\alpha_{CW})$   
(maximum radial distance:  $\rho_r$ , latitude where low-low-SST is purely in radial direction:  $\alpha_{CW}$ )

The angular velocity of the reference orbit is  $n$ , here also secular effects caused by  $J_2$  on the angular velocity are considered ( $n = \dot{u}$ ).

#### 4. SIMULATIONS (SENSITIVITY ANALYSIS)

A series of simulations for sensitivity analysis of future mission/formation options and basic mission parameters based on the quick look tools has been done. These simulations contain the following investigations:

- investigation of basic mission parameters (for inline-formation): sensor noise, intersatellite distance, orbit height, observation interval, inclination
- tests of refined noise cases for laser/accelerometer
- investigation of the new reference for sensor noise
- tests for laser-noise-only for higher orbits
- sensitivity analysis of different formations (only with formation quick look tool): inline, PENDULUM, CARTWHEEL
- sensitivity analysis for combinations of formations (inline and mixed) with different inclinations (BENDER-design)

##### 4.1 Investigation of basic parameters

First the influence of basic mission parameters is studied, which have been suggested by Alenia at the Requirements Review Meeting (RRM) in Torino at 19<sup>th</sup> November 2009. The investigations have been done for a single satellite pair in an inline-formation (GRACE-like tandem) by means of the QLT. The following basic limits and parameters have been studied:

- upper (pessimistic) and lower (optimistic) boundaries for sensor noise (laser, accelerometer).
- different intersatellite distances  $\rho$ ; the realistic boundaries are  $\rho = 10 \text{ km}/100 \text{ km}$ . Tested values are  $\rho = 1/10/25/50/75/100/200 \text{ km}$ .
- upper ( $h = 400 \text{ km}$ ) and lower boundaries ( $h = 300 \text{ km}$ ) for the orbit height  $h$  as well as an intermediate case  $h = 350 \text{ km}$ ; the lower boundary for the orbit height together with the lower boundaries for sensor noise are called the "optimistic case", the combined upper boundaries for orbit height and sensor noise are called "pessimistic case".
- shorter against longer observation period (for individual solutions)  $T$
- near polar ( $I = 89^\circ$ ) against sun synchronous orbit (SSO,  $I \approx 97^\circ$ )

The simplified noise functions for the two main sensors, laser and accelerometer, are given by Alenia in terms of Power Spectral Densities (PSD) as:

PSD of laser range error

$$\delta \tilde{d}(f, L_0, n_{\text{rel}}) = L_0 \times \begin{cases} n_{\text{rel}} & \text{for } f \geq 0.01 \text{ Hz} \\ n_{\text{rel}} \cdot \left( \frac{0.01}{f} \right) & \text{for } f < 0.01 \text{ Hz} \end{cases} \frac{\text{m}}{\sqrt{\text{Hz}}}$$

### PSD of accelerometer error

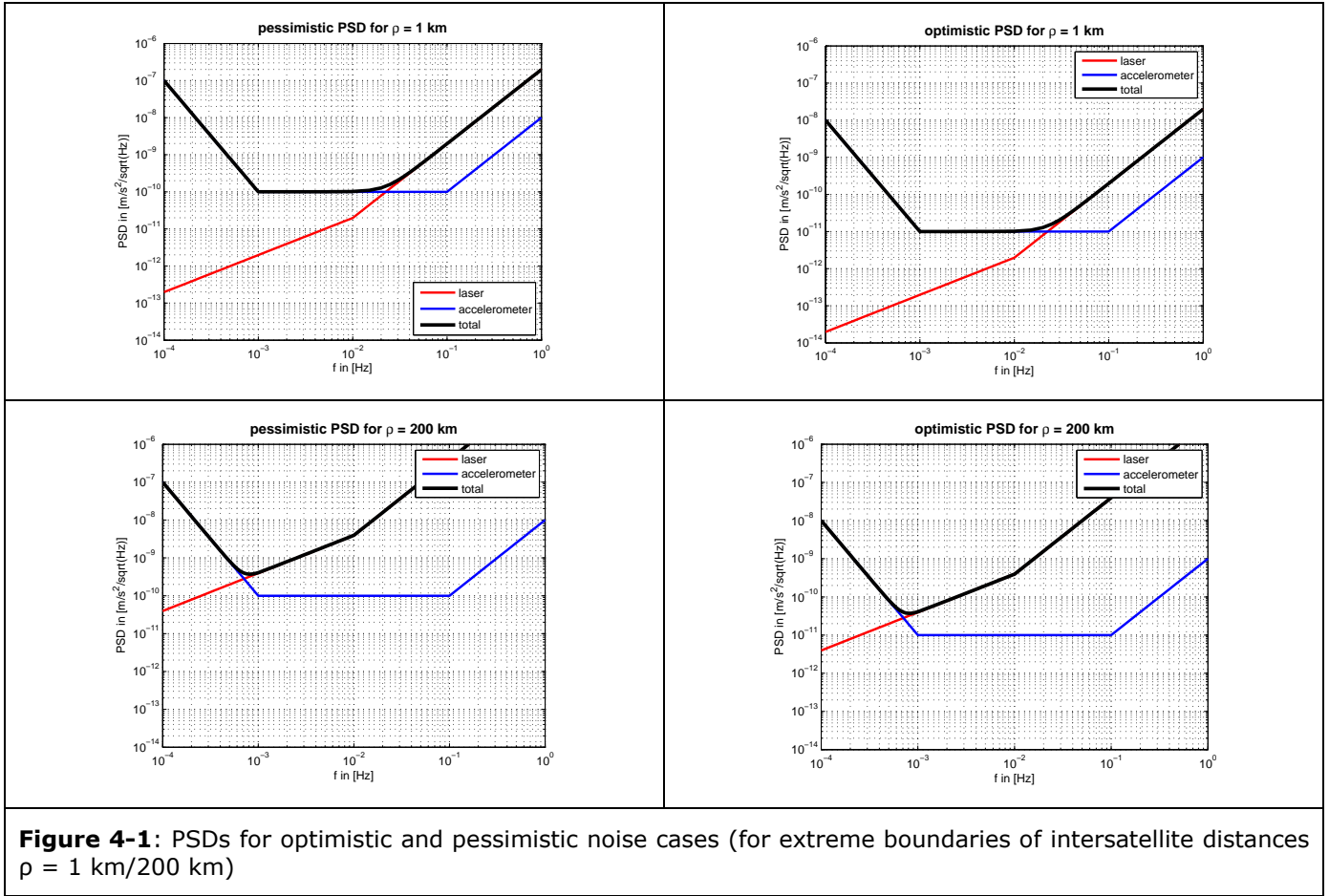
$$\delta \ddot{d}_D(f, n_{\text{floor}}) = \begin{cases} n_{\text{floor}} & \text{for } f \geq 0.001 \text{ and } f \leq 0.1 \text{ Hz} \\ n_{\text{floor}} \cdot \left(\frac{0.001}{f}\right)^3 & \text{for } f < 0.001 \text{ Hz} \\ n_{\text{floor}} \cdot \left(\frac{f}{0.1}\right)^2 & \text{for } f > 0.1 \text{ Hz} \end{cases} \quad \frac{\text{m}}{\text{s}^2 \sqrt{\text{Hz}}}$$

The noise of the laser is range-dependent ( $L_0$ ) and consists of a flat (relative) white noise part ( $n_{\text{rel}}$ ) for frequencies larger than the corner frequency (0.01 Hz) and an increase of noise for the lower frequencies with  $1/f$ . The accelerometer noise consists of a flat white noise part ( $n_{\text{floor}}$ ) for frequencies between the upper and lower corner frequencies and an increase of noise outside this area with  $(1/f)^3$  towards the lower frequencies and  $(1/f)^2$  towards the higher frequencies. The upper/lower limits for the flat noise parts of the laser and accelerometer are given as

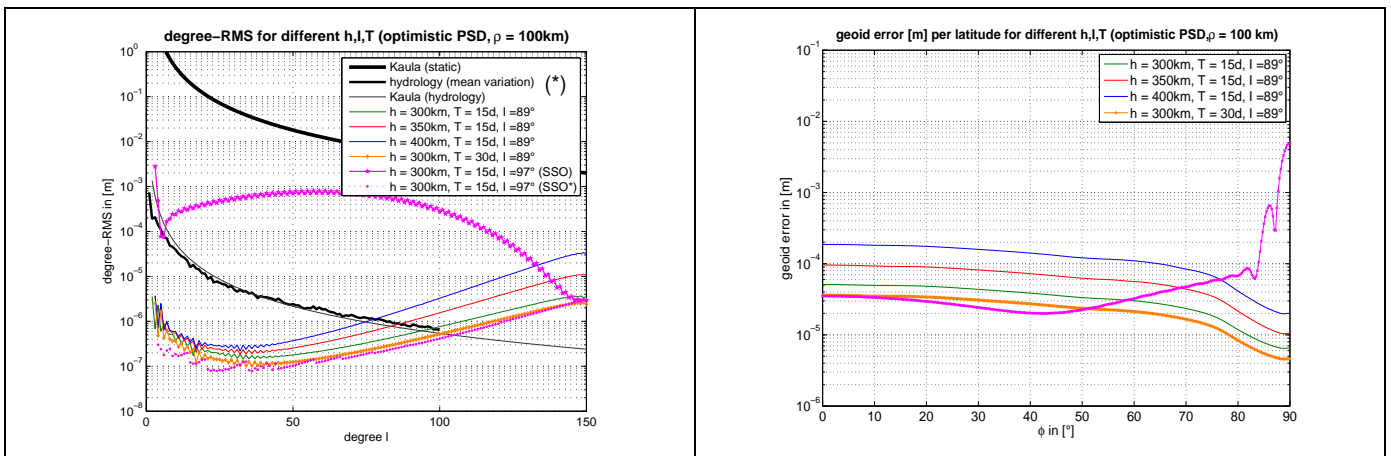
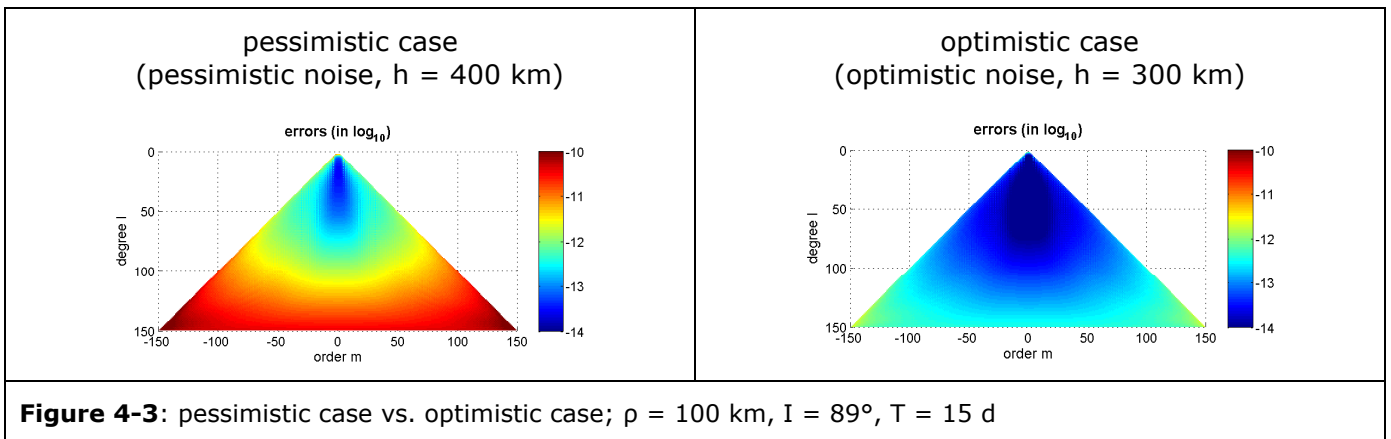
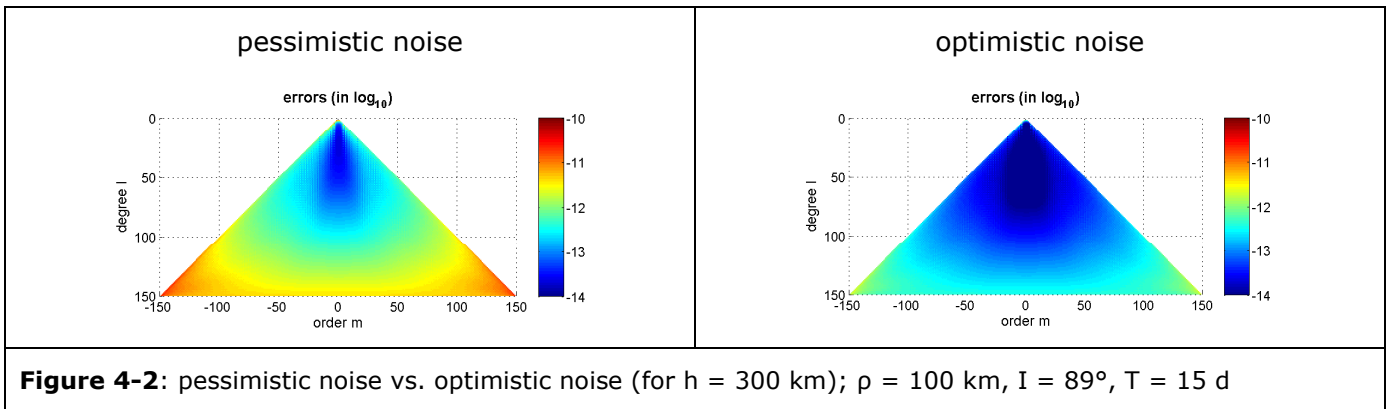
|                    | optimistic noise                                 | pessimistic noise                                |
|--------------------|--------------------------------------------------|--------------------------------------------------|
| $n_{\text{rel}}$   | $5 \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$          | $5 \cdot 10^{-12} [1/\sqrt{\text{Hz}}]$          |
| $n_{\text{floor}}$ | $1 \cdot 10^{-11} [\text{m/s}/\sqrt{\text{Hz}}]$ | $1 \cdot 10^{-10} [\text{m/s}/\sqrt{\text{Hz}}]$ |

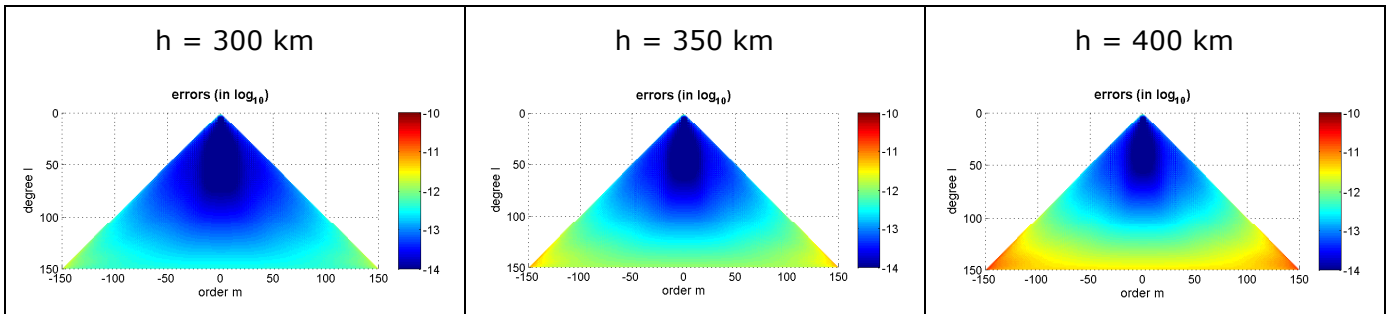
A spherical harmonic coefficient of degree  $l$  produces as a rule of thumb a signal on the frequency  $f = f_{\text{rev}} * l$  (where  $f_{\text{rev}}$  is the orbit frequency  $f_{\text{rev}} = 1/T_{\text{rev}}$ ). This means the measurement bandwidth is mainly driven by the revolution time and the maximum degree  $L_{\text{max}}$  as  $[1/T_{\text{rev}}, L_{\text{max}}/T_{\text{rev}}]$ , which is for a LEO and  $L_{\text{max}} = 200$  approximately  $[2 \cdot 10^{-4} \text{ Hz}, 0.04 \text{ Hz}]$ . **Figure 4-1** shows the PSDs for the pessimistic and optimistic noise cases (laser, accelerometer and combined) for the two extremal intersatellite distances  $\rho = 1 \text{ km}$  and  $\rho = 200 \text{ km}$  in the unit  $[\text{m/s}^2/\sqrt{\text{Hz}}]$  within the frequencies of interest. The following important characteristics can be captured:

- the improvement of factor 10 of the optimistic noise case compared to the pessimistic noise
- while the noise on the lower frequencies is mainly driven by the accelerometer, it is mainly determined by the laser on the higher frequencies
- the longer the intersatellite distance, the larger is the impact of the laser noise. For a distance of  $\rho = 200 \text{ km}$  the total noise is driven by the laser noise for frequencies  $> 10^{-3} \text{ Hz}$ . This means, for an intermediate distance the total noise will be more balanced.



**Figure 4-2** shows the formal errors for the pessimistic and optimistic noise cases, both considered for the mission parameters  $h = 300 \text{ km}$ ,  $\rho = 100 \text{ km}$ ,  $I = 89^\circ$ ,  $T = 15 \text{ d}$ . Clearly visible is the improvement of factor 10 over all coefficients for the optimistic noise case compared to the pessimistic noise case. Due to the anisotropy of the low-low-SST of an inline-formation (see covariance-functions later on) the coefficients of lower order  $m$  have a higher accuracy than those of higher order. If the upper limit for the orbit height,  $h = 400 \text{ km}$ , is taken into account together with the pessimistic noise level, which represents the pessimistic case, the differences grow larger than a factor of 10 compared to the optimistic case (optimistic noise, lower limit for orbit height  $h = 300 \text{ km}$ ), especially for higher degrees  $l$ . The reason for this is the signal attenuation for higher orbits with the factor  $(R/(R+h))^{+1}$ . This effect of signal attenuation can easily be seen in the degree-RMS and geoid errors per latitude in **Figure 4-4** and in the formal errors in **Figure 4-5**. Especially the degree-RMS error-curve exhibits a steeper rise for larger degrees  $l$  if the orbit gets higher. Thus, for instance, the degree-RMS for  $h = 300 \text{ km}$  intersects the hydrology signal curve (mean variation) at degree  $l \approx 95$  while the intersection is shifted to  $l = 75 \text{ km}$  for  $h = 400 \text{ km}$ . In summary a big gain in accuracy can be expected if the lower boundaries for orbit height and sensor noise can be met.

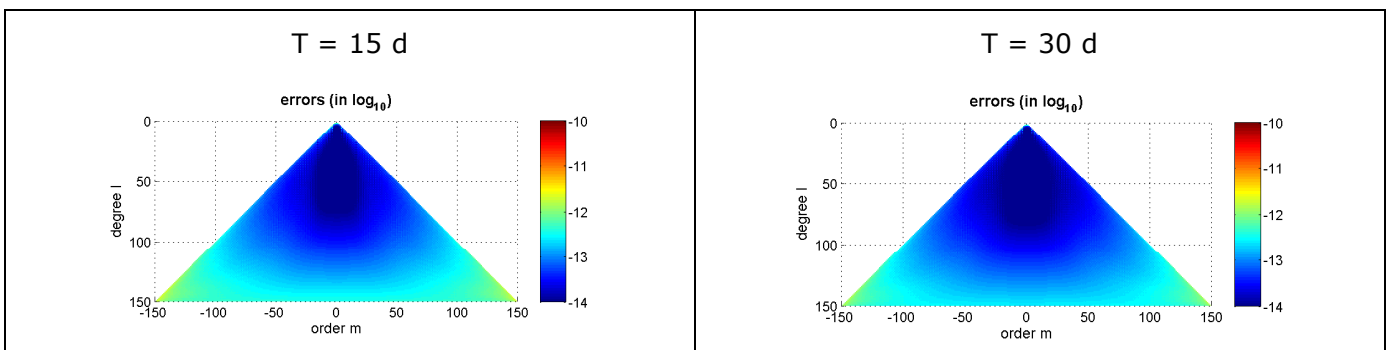




**Figure 4-5:** influence of orbit height (for optimistic noise);  $\rho = 100$  km,  $I = 89^\circ$ ,  $T = 15$  d

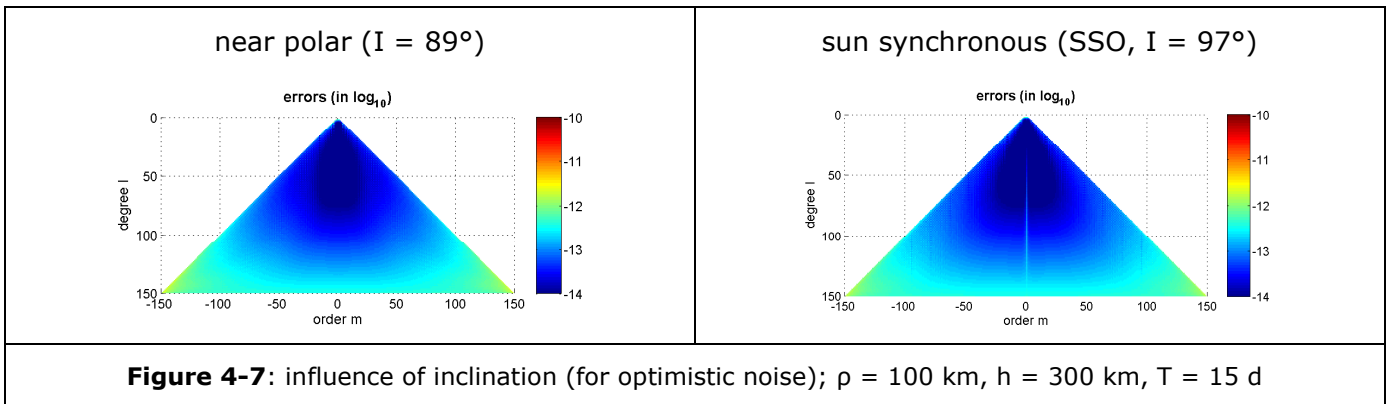
**Figure 4-6** shows the influence of different time intervals  $T$  in terms of formal errors for the two cases  $T = 15$  d and  $T = 30$  d (the results in terms of degree-RMS and geoid errors per latitude are displayed in **Figure 4-4**). The influence of the time interval  $T$  is  $\sqrt{T}$  for all coefficients. So an improvement of a factor  $\sqrt{2}$  for the case  $T = 30$  d compared to  $T = 15$  is visible in all Figures. This means a shorter time interval which might lead to a higher temporal resolution produces larger errors.

**Figure 4-7** (and also **Figure 4-4**) display the errors caused by different inclinations, here for the two cases (near) polar orbit ( $I \approx 90^\circ$ ) and sun-synchronous orbit ( $I \approx 97^\circ$ ). The influence of the polar gap produced by the inclined orbit is clearly visible in the geoid errors per latitude, which are very large at the polar gap and similar or even better compared to the near polar orbit at areas which are covered well with measurements. Furthermore the formal errors in **Figure 4-7** show that the accuracy of the zonal and low order coefficients is reduced dramatically while the accuracy of higher order coefficients is improved slightly (the reason for the latter might be due to the enhanced isotropy caused by the larger intersection angle of ascending/descending arcs). Of course the bad accuracy of the low order coefficients contaminates the degree-RMS of inclined orbits, but if the low order coefficients are removed the degree-RMS show a similar (or even slightly better) accuracy than for polar orbits. All the mentioned effects grow larger if the inclination deviates more from  $I = 90^\circ$  (See also section 4.6). Although an inclined single formation mission might be problematic due to the mentioned problems and the data gap, an inclined formation might be advantageous in a multi-formation mission (section 4.6). The influence of the inclination is investigated in more details in section 4.6.



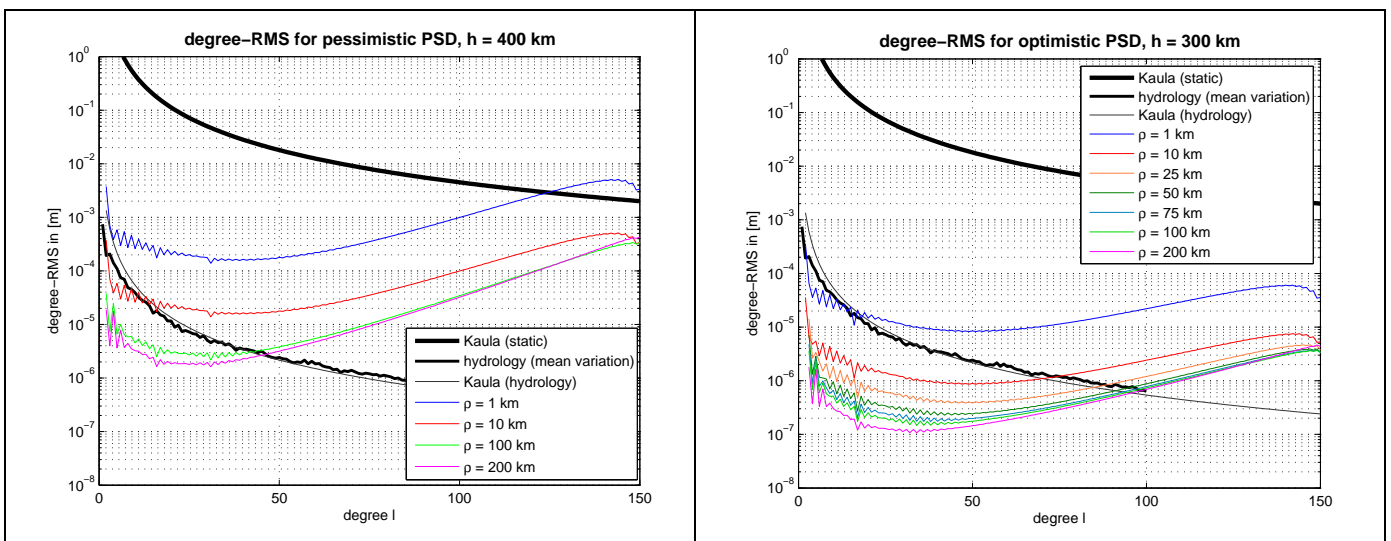
**Figure 4-6:** influence of time interval (for optimistic noise);  $\rho = 100$  km,  $h = 300$  km,  $I = 89^\circ$

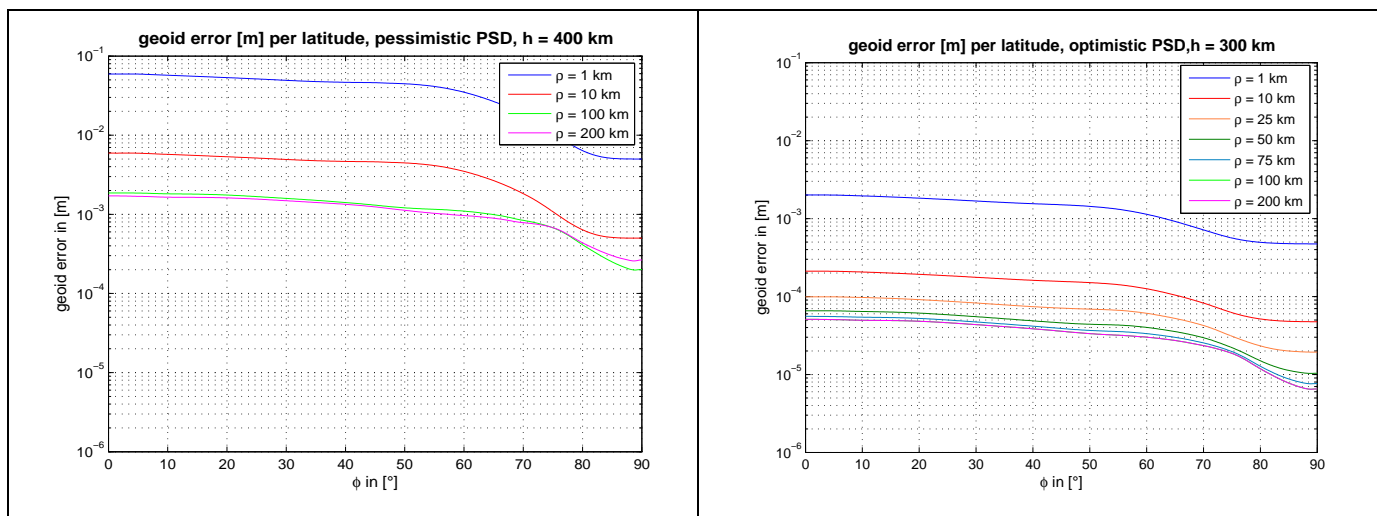




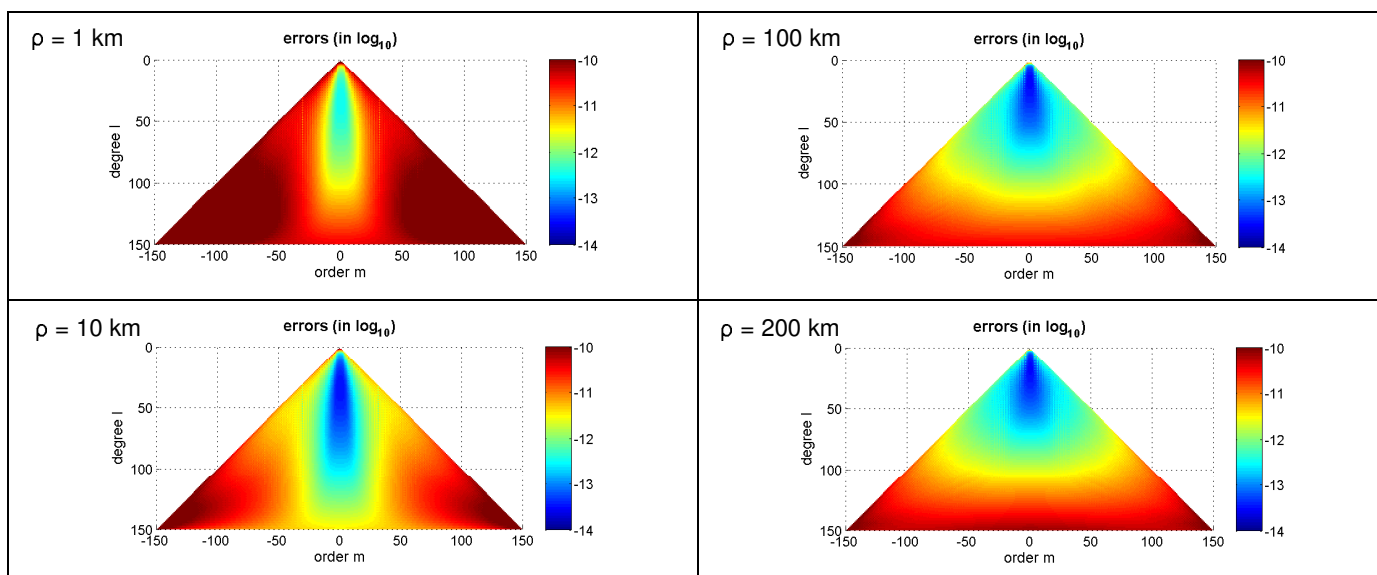
On of the major aspects to be addressed in a future mission design is the influence of the intersatellite distance  $\rho$  (or  $L_0$ ). From technological view a short distance as  $\rho = 1$  km or  $\rho = 10$  km is desired. In contrast, from geodetic side a long distance as  $\rho = 100$  km or  $\rho = 200$  km is aimed at due to higher sensitivity, even if the laser noise decreases directly with a factor of  $L_0$ . The only margin from geodetic side is the avoidance of common mode effects, which occur if the satellite distance is larger than the highest gravity field resolution  $\lambda = 2\pi R/l$ . **Figure 4-8**, **Figure 4-9** and **Figure 4-10** show the results obtained for different intersatellite distances  $\rho$  for the optimistic and pessimistic cases. From  $\rho = 1$  km to  $\rho = 10$  km there is an improvement of one order of magnitude over all degrees. From  $\rho = 10$  km to  $\rho = 100$  km the improvement is already less than one order of magnitude, especially for the higher degrees, which is due to the rising influence of the range-dependent laser noise. The improvement from  $\rho = 100$  km to  $\rho = 200$  km is already marginal. As the formal errors show, the coefficients of higher orders benefit more from the larger distance  $\rho$ , which leads to a more homogenous error distribution (improvement of isotropy?) in the formal error plots for larger satellite distances. The reason might be, that the higher order coefficients are determined from the signals on the lower frequencies, whose accuracy is mainly determined by the range-independent accelerometer noise.

It can be captured from **Figure 4-8** to **Figure 4-10** that between  $\rho = 75$  km and  $\rho = 200$  km there isn't a big improvement any more. Thus, a intersatellite distance of  $\rho = 75$  km is regarded as a good compromise between geodetic sensitivity and technical feasibility and is chosen as baseline value.

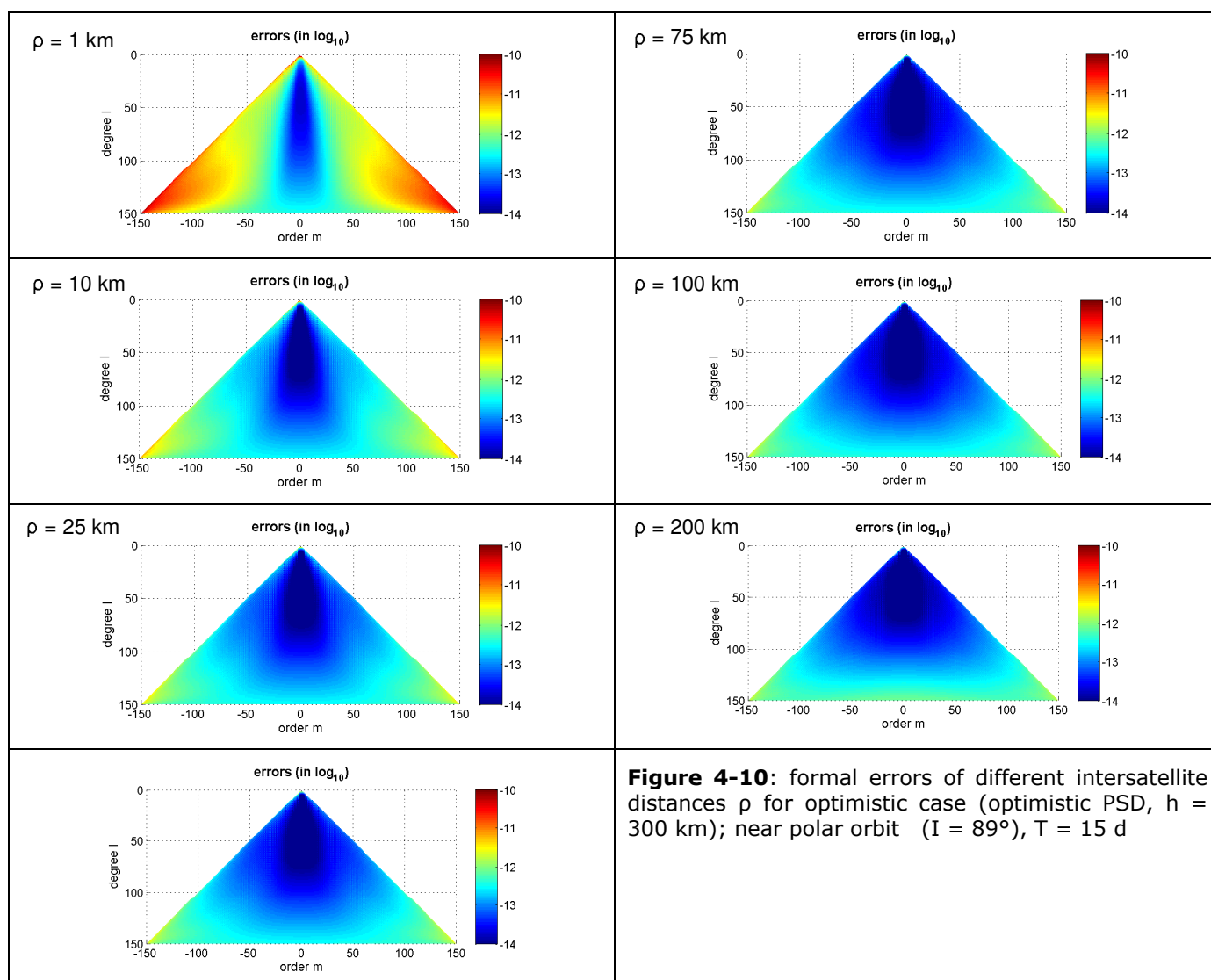




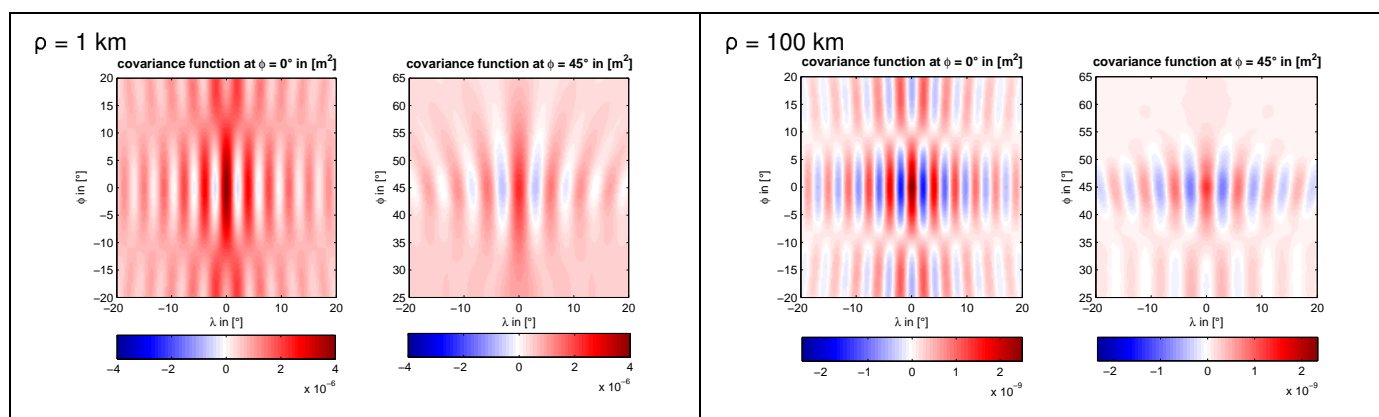
**Figure 4-8:** degree-RMS and geoid error per latitude ( $L_{\max} = 100$ ) for different intersatellite distances  $\rho$  (for a near polar orbit ( $I = 89^\circ$ ) and an time interval of  $T = 15$  d); left: pessimistic case, right: optimistic case.



**Figure 4-9:** formal errors of different intersatellite distances  $\rho$  for pessimistic case (pessimistic PSD,  $h = 400$  km); near polar orbit ( $I = 89^\circ$ ),  $T = 15$  d



**Figure 4-11** shows the covariance functions for points at latitude  $\phi = 0^\circ/45^\circ$  for the intersatellite distances  $\rho = 1$  km/100 km. In all cases, the typical anisotropic North-South striped errors for GRACE-like inline-formations is visible. The distance  $\rho$  has almost no influence on the isotropy.



In summary the following conclusions can be drawn:

- the optimistic sensor noise case has a big impact, here a factor of 10 over all degrees compared to the pessimistic noise case.
- for the given noise cases, at least a satellite distance of  $p = 50$  km should be applied. A distance of  $p = 75$  km seems to be a good compromise between technological feasibility and geodetic sensitivity.
- the orbit height influences mainly higher degrees  $l$ , thus still promising results up to degree  $l = 50$  can be obtained with the higher orbit ( $h = 400$  km) compared to the lower orbit ( $h = 300$  km). Thus the lower orbit height is desired, although the influence is less than from the discussed sensor noise levels. As a compromise between technical feasibility and geodetic sensitivity, a mean orbit height of  $h = 350$  km should be aimed at.
- influence of observation interval  $T$  is  $\sqrt{T}$  on all coefficients.
- inclined orbits (e.g. sunsynchronous) cause a polar gaps with the well known problems (large geoid errors over polar gaps, low order coefficients not well determined). But in combination with polar formations positive effects might be obtained (denser groundtrack coverage over equator, improved accuracy of coefficients of higher order), see also section 4-6.

## 4.2 New test cases for laser/accelerometer noise

Based on the investigations of the previous section, the parameters  $p = 75$  km,  $h = 350$  km,  $I = 90^\circ$ ,  $T = 15$  d were defined as basic mission parameters. In this section the influence of different sensor noise parameters and characteristics is studied. These studies include variations of the flat white noise levels  $n_{\text{rel}}$ ,  $n_{\text{floor}}$  of the laser and accelerometer and different exponents  $\eta$  of the  $1/f$ -noise behaviour of the accelerometer at lower frequencies. The formulas for laser and accelerometer noise used in this section are the same as for the previous section with the only difference of the variable exponent  $\eta$ :

PSD of laser range error

$$\delta \tilde{d}(f, L_0, n_{\text{rel}}) = L_0 \times \begin{cases} n_{\text{rel}} & \text{for } f \geq 0.01 \text{ Hz} \\ n_{\text{rel}} \cdot \left( \frac{0.01}{f} \right) & \text{for } f < 0.01 \text{ Hz} \end{cases} \quad \frac{\text{m}}{\sqrt{\text{Hz}}}$$

PSD of accelerometer error

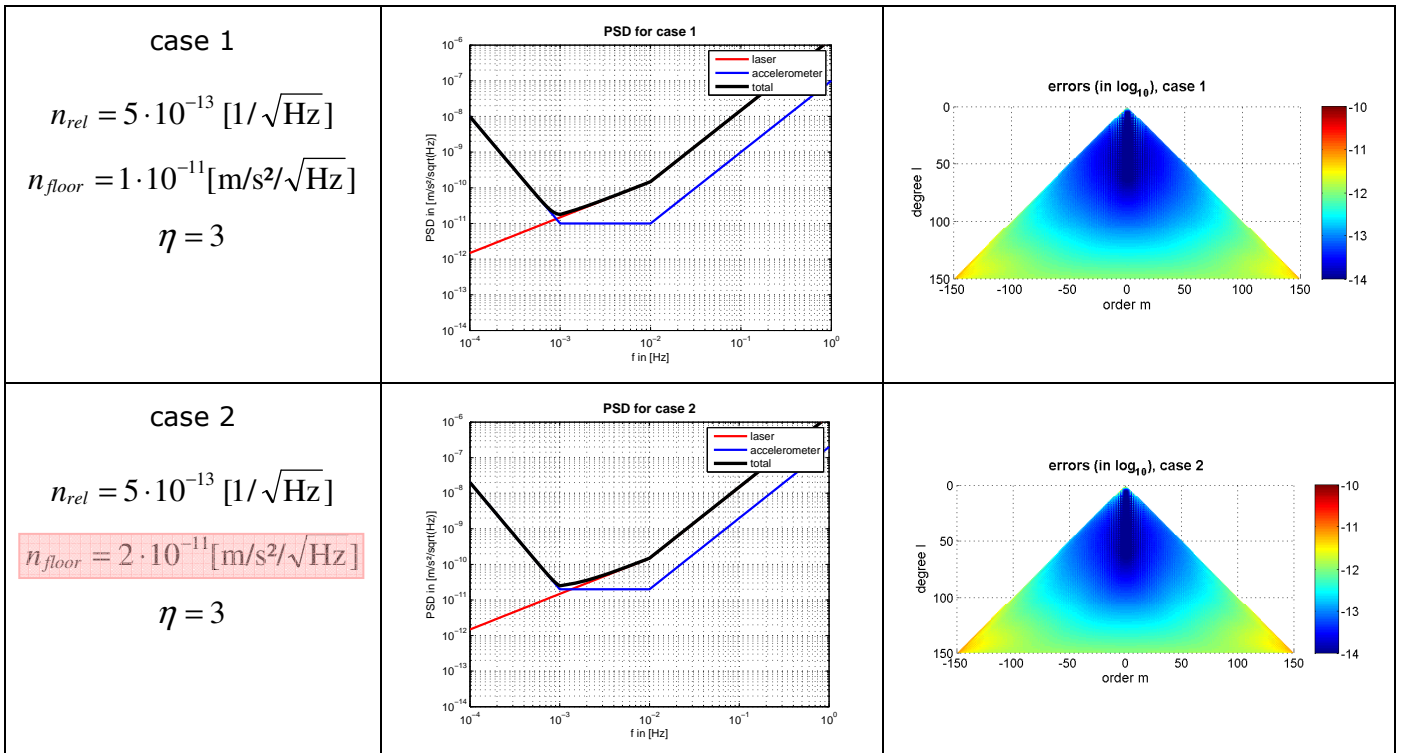
$$\delta \tilde{d}_D(f, n_{\text{floor}}, \eta) = \begin{cases} n_{\text{floor}} & \text{for } f \geq 0.001 \text{ and } f \leq 0.01 \text{ Hz} \\ n_{\text{floor}} \cdot \left( \frac{0.001}{f} \right)^\eta & \text{for } f < 0.001 \text{ Hz} \\ n_{\text{floor}} \cdot \left( \frac{f}{0.01} \right)^2 & \text{for } f > 0.01 \text{ Hz} \end{cases} \quad \frac{\text{m}}{\text{s}^2 \sqrt{\text{Hz}}}$$

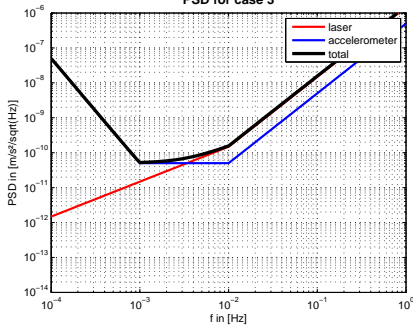
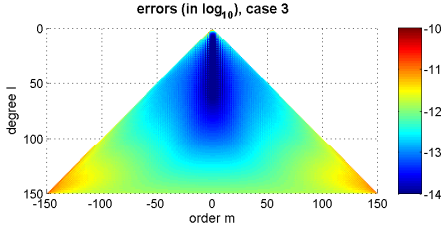
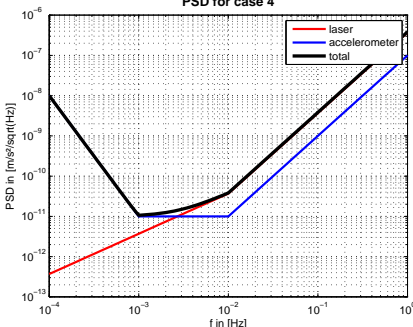
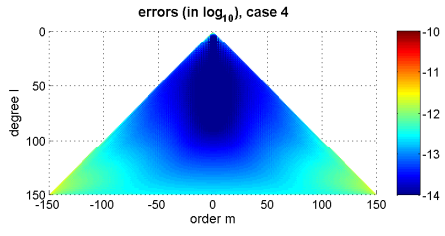
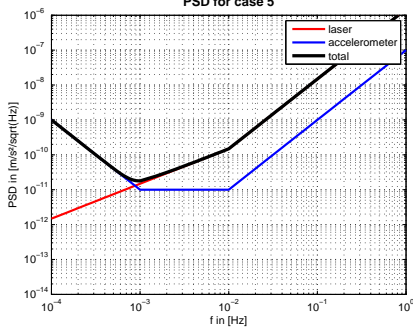
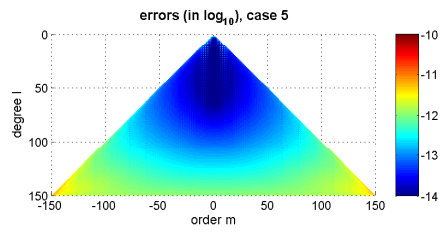
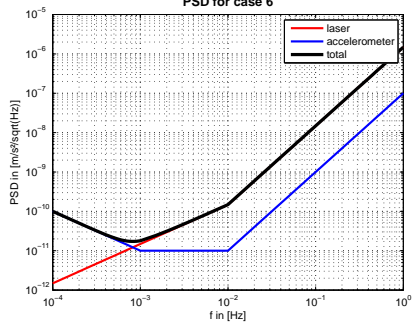
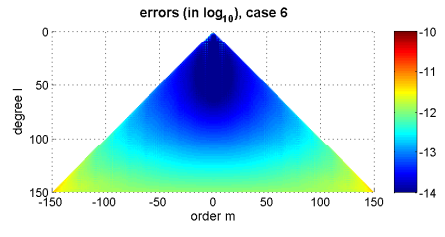
The parameters of the 7 noise test cases are displayed in **Figure 4-12** as well as their PSD-curves (laser, accelerometer and combined) and the estimated formal SH coefficient errors. **Figure 4-13** shows the degree-RMS-curves and geoid errors per latitude obtained from the different noise cases. Case 1 is the reference case and corresponds to the optimistic noise level defined in the previous section. In cases 2 and 3 the noise level  $n_{\text{floor}}$  of the accelerometer is increased. This has mainly an influence on the lower frequencies  $f < 10^{-3}$  Hz or  $f < 5 \cdot 10^{-3}$  Hz of the total noise level depending on

the level of  $n_{\text{floor}}$ . As a result the accuracy of the higher order coefficients is decreased and the degree-RMS and geoid errors per latitude are increased over the whole range. In test case 4 the relative white noise level of the laser is reduced, which affects mainly the noise in the higher frequencies  $f > 10^{-3}$  Hz of the total noise. As a result, mainly (but not only) the higher degree coefficients are improved, which is reflected in the formal errors, the degree-RMS curve and the geoid errors per latitude. In test cases 5 and 6 the exponent  $\eta$  of the low frequency  $1/f$  accelerometer noise is reduced. As a result, mainly the higher order coefficients of degrees  $l < 100$  (especially  $l < 50$ ) are improved, as visible in the formal errors and the degree-RMS curve (also the geoid errors per latitude are reduced significantly). Test case 7 is a combination of test case 3 and test case 5, which means an increased relative noise level of the accelerometer but a decreased exponent  $\eta$  for the  $1/f$  noise on the lower frequencies. As visible, the negative effect of the increase of  $n_{\text{floor}}$  is much worse as the benefit of a decreased  $\eta$ . In comparison to case 3 only the noise of the lower degree ( $l < 50$ ) coefficients is reduced.

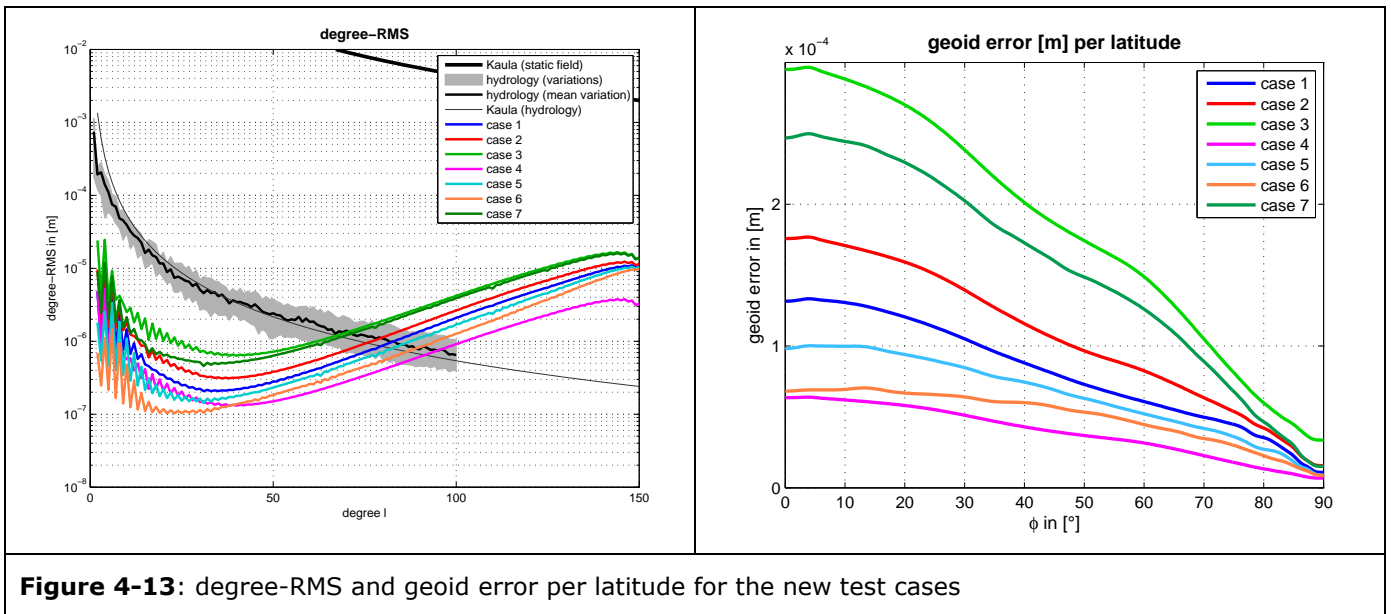
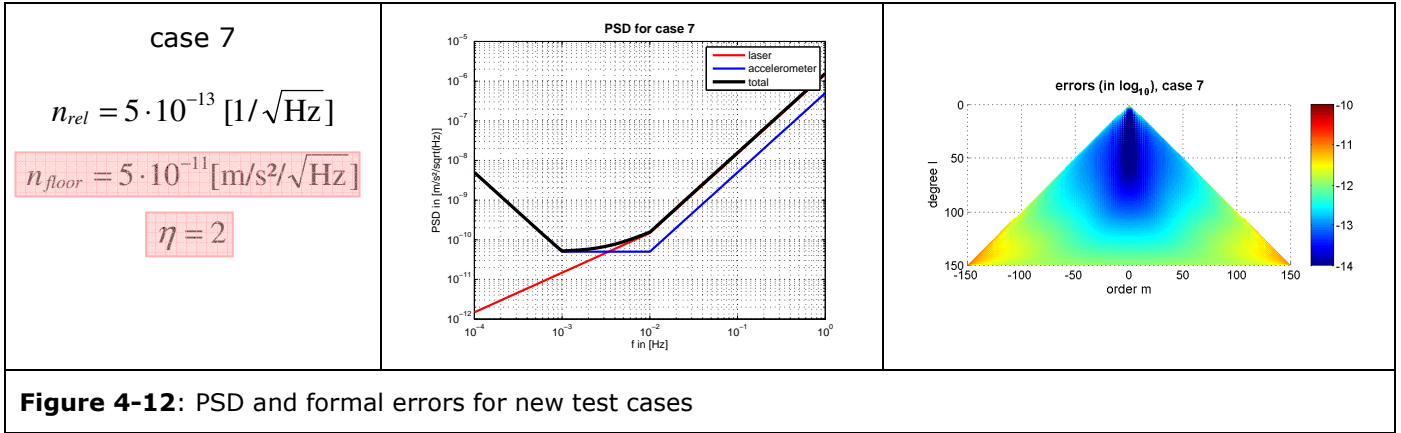
The main results of the investigations of this section are:

- a large improvement can be obtained if the white noise level  $n_{\text{rel}}$  of the laser can be reduced
- a reduction of the exponent  $\eta$  of the ( $1/f$ ) noise part in the low frequency range of the accelerometer from  $\eta = 3$  to  $\eta = 1$  or at least to  $\eta = 2$  will also improve the gravity field sensitivity
- the optimistic relative white noise level  $n_{\text{floor}}$  of the accelerometer should be kept, otherwise the gravity field sensitivity will be reduced
- instead of reducing the exponent  $\eta$  a shift of the accelerometers lower corner frequency towards lower frequencies will also be helpful
- in general it is advantageous to improve the total noise over the whole bandwidth of interest. This is achieved by the reduction of  $n_{\text{rel}}$  and  $\eta$ .



|                                                                                                                                               |                                                                                                           |                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| <p>case 3</p> $n_{rel} = 5 \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$ $n_{floor} = 5 \cdot 10^{-11} [\text{m/s}^2/\sqrt{\text{Hz}}]$ $\eta = 3$     | <p>PSD for case 3</p>    | <p>errors (in log<sub>10</sub>), case 3</p>    |
| <p>case 4</p> $n_{rel} = (5/4) \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$ $n_{floor} = 1 \cdot 10^{-11} [\text{m/s}^2/\sqrt{\text{Hz}}]$ $\eta = 3$ | <p>PSD for case 4</p>   | <p>errors (in log<sub>10</sub>), case 4</p>    |
| <p>case 5</p> $n_{rel} = 5 \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$ $n_{floor} = 1 \cdot 10^{-11} [\text{m/s}^2/\sqrt{\text{Hz}}]$ $\eta = 2$     | <p>PSD for case 5</p>  | <p>errors (in log<sub>10</sub>), case 5</p>  |
| <p>case 6</p> $n_{rel} = 5 \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$ $n_{floor} = 1 \cdot 10^{-11} [\text{m/s}^2/\sqrt{\text{Hz}}]$ $\eta = 1$     | <p>PSD for case 6</p>  | <p>errors (in log<sub>10</sub>), case 6</p>  |



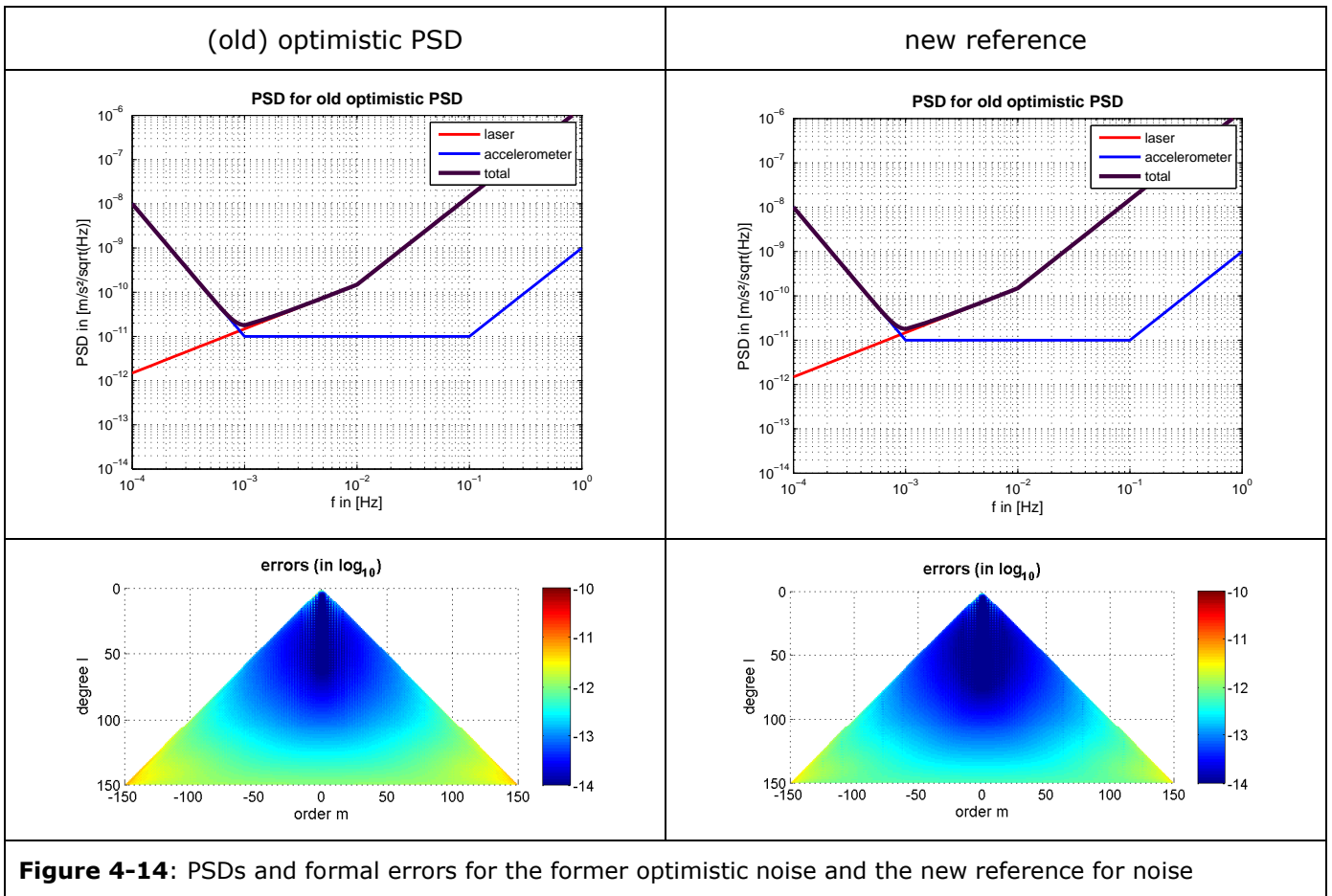
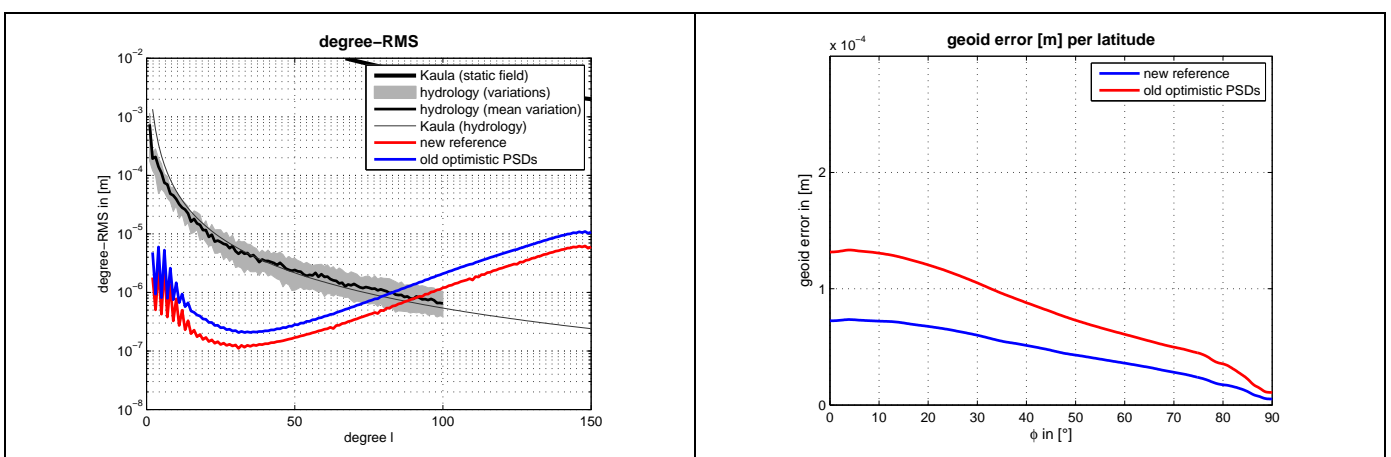


### 4.3 New reference for noise

Based on the investigations from last section, a new reference for noise was defined. Both values, the relative white noise level  $n_{rel}$  of the laser and the exponent  $\eta$  of the  $1/f$  low frequency accelerometer noise were reduced within a level which seems technically feasible. These values and those of the (old) optimistic noise level are displayed in **Table 1**. **Figure 4-14** shows the PSD curves for both noise cases and the estimated formal errors and **Figure 4-15** the results in terms of degree-RMS and geoid errors per latitude. As it can be seen, an improvement over all degrees of about a factor of 2 is obtained with the new reference noise compared to the (old) optimistic noise.

| (old) optimistic PSD                                           | new reference                                                  |
|----------------------------------------------------------------|----------------------------------------------------------------|
| $n_{rel} = 5 \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$              | $n_{rel} = 2.67 \cdot 10^{-13} [1/\sqrt{\text{Hz}}]$           |
| $n_{floor} = 1 \cdot 10^{-11} [\text{m/s}^2/\sqrt{\text{Hz}}]$ | $n_{floor} = 1 \cdot 10^{-11} [\text{m/s}^2/\sqrt{\text{Hz}}]$ |
| $\eta = 3$                                                     | $\eta = 2$                                                     |

**Table 1:** new reference vs. the old optimistic instrument noise (for  $p = 75$  km,  $h = 350$  km,  $I = 90^\circ$ ,  $T = 15$ d)


**Figure 4-14:** PSDs and formal errors for the former optimistic noise and the new reference for noise

**Figure 4-15:** degree-RMS and geoid errors per latitude for the former optimistic noise and the new reference for noise

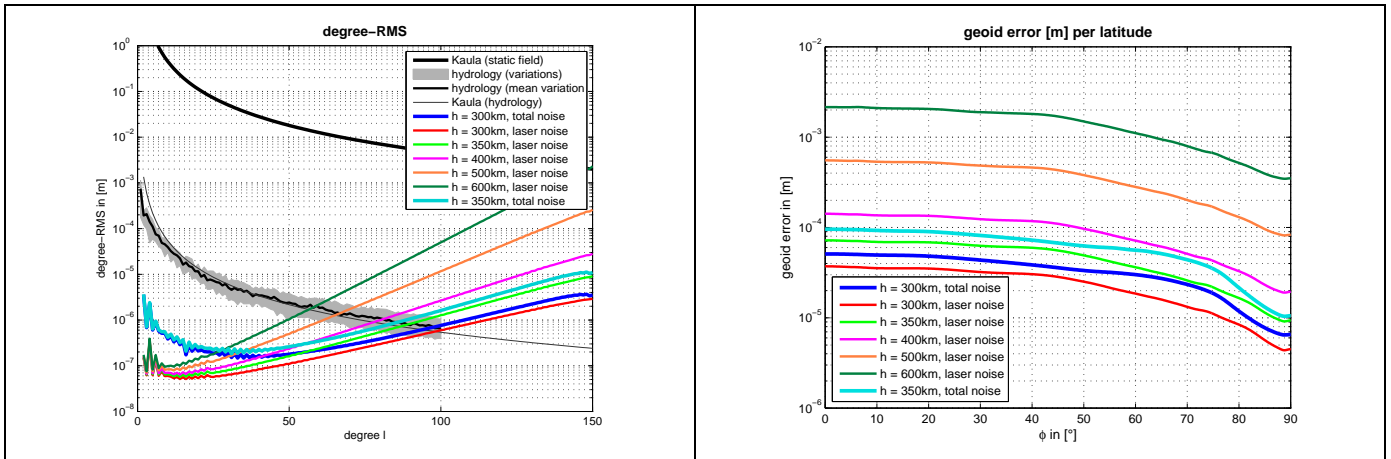
#### 4.4 Laser noise only for different orbit heights

The idea of this section is to investigate a satellite mission which is flying in a higher orbit, e.g. 500 km – 600 km, where possibly the air drag is very low so that an accelerometer can be avoided or at



least produces only a very small error level. Then the main error source is the laser and the accelerometer might be neglected in the error budget. To investigate the possible benefit of such a higher orbit, the degree-RMS curves and the geoid error per latitude are estimated in **Figure 4-16** for the laser-noise-only cases for orbit heights of  $h = 300 \text{ km}/400 \text{ km}/500 \text{ km}/600 \text{ km}$  in comparison with the total noise case with  $h = 350 \text{ km}$  (to see the difference of the effects between total and laser noise both cases are investigated for  $h = 300 \text{ km}$ ). The following conclusions can be drawn from the figure:

- for the same orbit height it has a significant influence if the accelerometer noise can be neglected. Compared to the total noise the laser-noise only case leads to an improvement over all degrees, especially for the degrees  $l < 50$  up to one order of magnitude
- however, accelerometer noise can only kept low or ignored for high orbits ( $h > 500 \text{ km}/600 \text{ km}$ ). This means that the SH errors rise rapidly for higher degrees, e.g. 1 order of magnitude for degree  $l = 50$  and 2 orders of magnitude for  $l = 100$  if  $h = 600 \text{ km}$  is compared to  $h = 300 \text{ km}$  for the laser-noise only case.
- the comparison of the total noise case for  $h = 350 \text{ km}$  and the laser-noise-only case for  $h = 600 \text{ km}$  shows that an improvement of the latter might only be gained for degrees  $l < 25$  while the errors rise rapidly for higher degrees. Thus a higher orbit laser-noise-only mission seems not to be advantageous.



**Figure 4-16:** degree-RMS and geoid-error per latitude for only-laser-noise case for different orbit heights (optimistic laser PSD,  $\rho = 100 \text{ km}$ ,  $I = 89^\circ$ ,  $T = 15 \text{ d}$ )

## 4.5 Sensitivity of formations

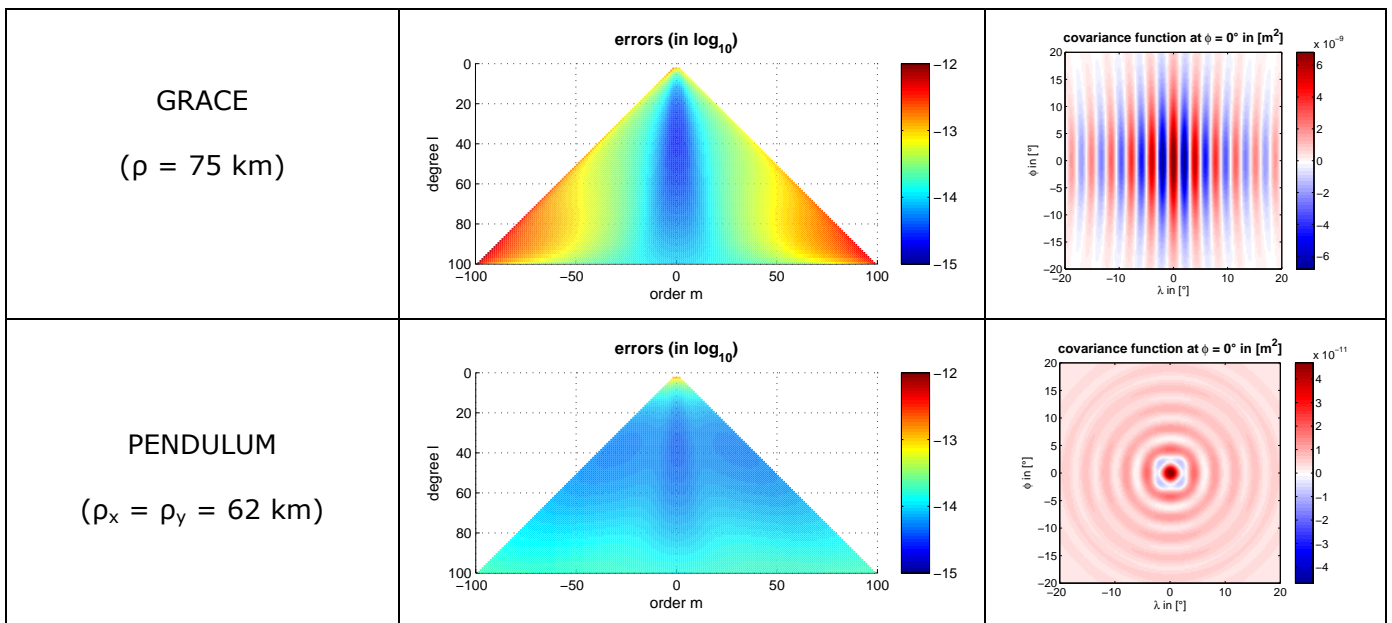
In this section the sensitivity of the different formations (inline (GRACE-like), Pendulum, Cartwheel) discussed within this project is investigated. Since the original QLT is in its present state not able to simulate formations, the new (pseudo) formation-QLT is used. A white noise level of  $10^{-10} [\text{m/s}^2/\sqrt{\text{Hz}}]$ , which fits the coloured new reference noise level quite well within the measurement bandwidth, is used within the simulations. As basic parameters an average intersatellite distance of  $\rho = 75 \text{ km}$ , an orbit height and investigation time of  $h = 335 \text{ km}$  and  $T = 32 \text{ d}$  (corresponding to the selected repeat mode  $\beta/\alpha = 503/32$ ) and  $I = 90^\circ$  have been assumed. Subsection 4.5.1 shows the results of the different basic formations, subsection 4.5.2 deals with different versions of Pendulums and in subsection 4.5.3 different orientations of Cartwheels are investigated.

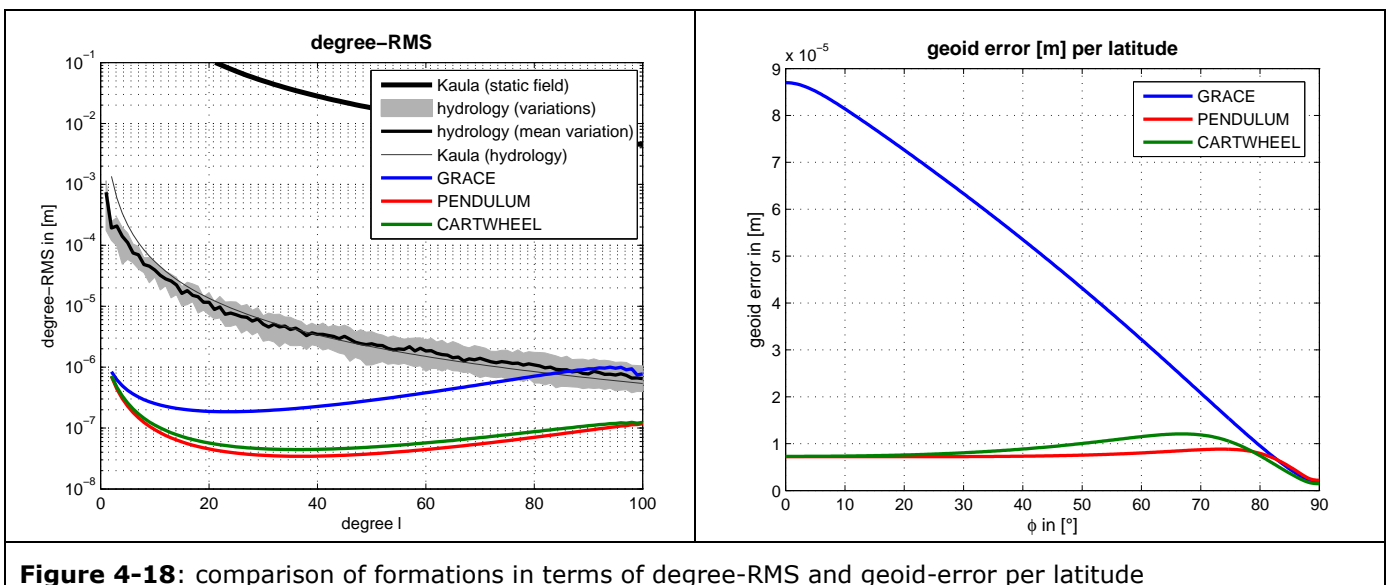
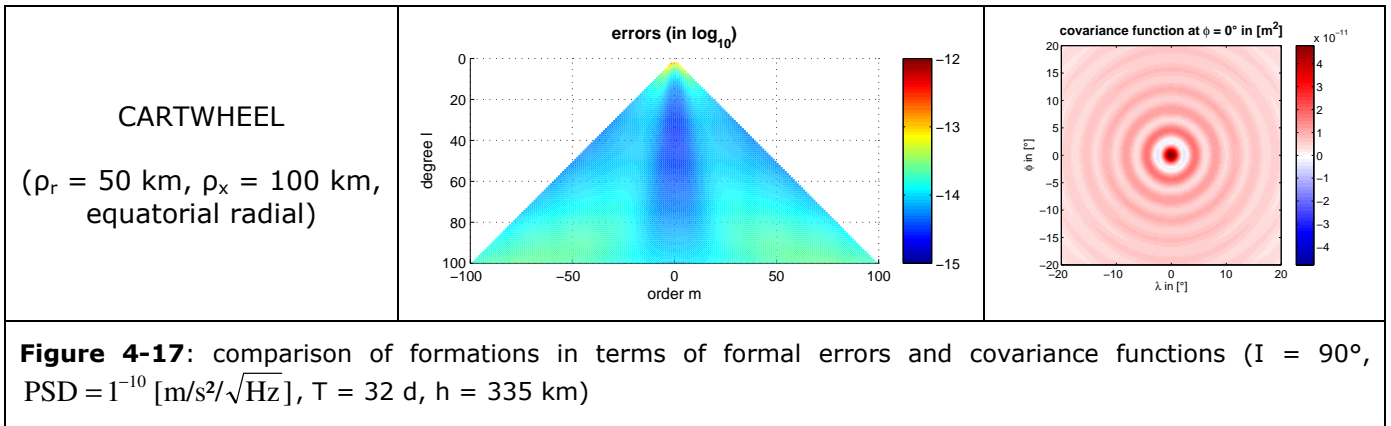
#### 4.5.1 Comparison of basic formations

The results for the three basic formations are displayed in **Figure 4-17** in terms of formal errors and covariance functions (for a point at the equator) and in **Figure 4-18** as degree-RMS and geoid errors per latitude. For the Pendulum and Cartwheel the best versions from subsections 4.5.2 and 4.5.3 have been chosen for the comparison.

Concerning sensitivity and isotropy a big gain can be expected from the Pendulum and Cartwheel compared to an inline-formation. Both advanced formation types, the Pendulum and the Cartwheel, lead to a similar accuracy. In terms of degree-RMS an improvement over all degrees can be expected from the Cartwheel and Pendulum, especially for the higher degrees an improvement of about one order of magnitude is obtained. The lower latitude regions benefit most from the advanced formations Pendulum/Cartwheel, as the geoid error per latitude shows. Here an improvement of approximately one order of magnitude can be achieved, while at near-polar regions all geoid errors per latitude are similar (the reason is the mainly along-track oriented tracking of all three formations over the poles). For the Pendulum/Cartwheel the geoid error per latitude now is in a similar level over all latitudes. The formal error plots show that the Pendulum and Cartwheel mainly improve the coefficients of higher order compared to the inline-formation. Here a similar error level over all orders of one degree is reached with the Pendulum. As the covariance functions illustrate both advanced formations, the Pendulum and the Cartwheel lead to an almost isotropic signal (here for a point on the equator), which means that the well known North-South striations from GRACE can be avoided. For the Cartwheel the signal is almost perfectly isotropic.

Both advanced formations, the Cartwheel and the Pendulum, lead to a big improvement and show results of almost the same quality. The Cartwheel shows a slightly worse performance in the degree-RMS-curve but higher isotropy. However it has to be taken into account that the orientation of the Cartwheel is not stable for a near-polar (or sun-synchronous orbit) due to the perigee drift (see subsection 4.5.3).





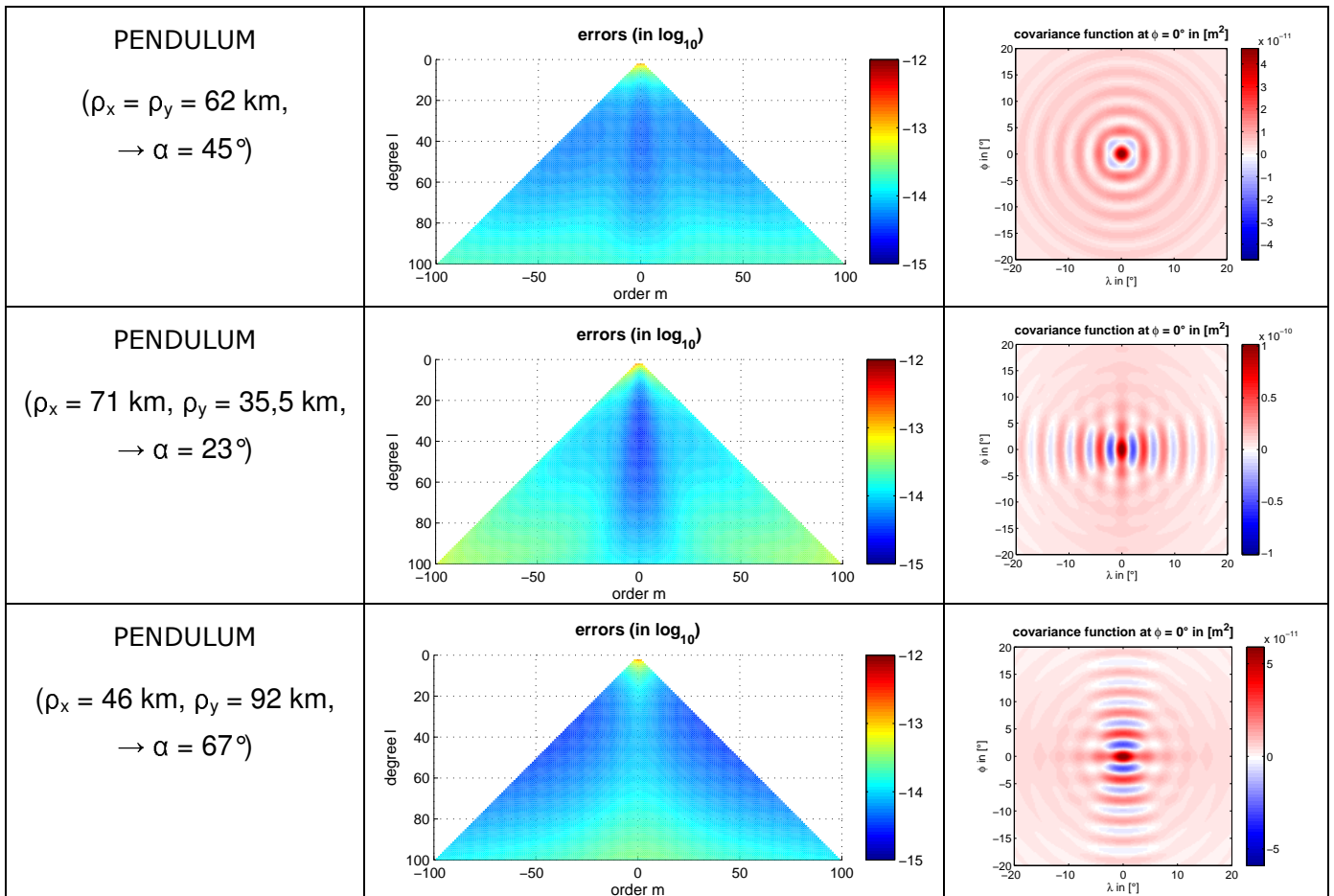
#### 4.5.2 Comparison of PENDULUMS

Different options of Pendulums are possible leading to the same average intersatellite distance of  $p = 75$  km. Depending on the choice of the constant along-track component  $\rho_x$  and the maximum cross-track distance  $\rho_y$  over the equator different (maximum) yaw angles  $\alpha$  between the line-of sight and the groundtracks over the equator can be achieved (Pendulums with maximum cross-track component outside the equator are neglected, since a different inclination of both satellites causes technical problems due to a different nodal drift rate of both satellites). Corresponding to the yaw angle  $\alpha$  or the relation between the components  $\rho_x, \rho_y$  the signals contains more along-track or cross-track information. The highest isotropy is obtained if the along-track and cross-track components are the same. But it has to be taken care that the cross-track component is maximal over the equator and disappears over the poles. Thus a Pendulum with  $\rho_x = \rho_y$  has maximum isotropy over the equator but reduced isotropy for higher latitudes while a Pendulum with  $\rho_y > \rho_x$  has maximum isotropy over mid-latitude regions and reduced isotropy over the equator. The influence of the yaw angle  $\alpha$  on the sensitivity is investigated in this section.

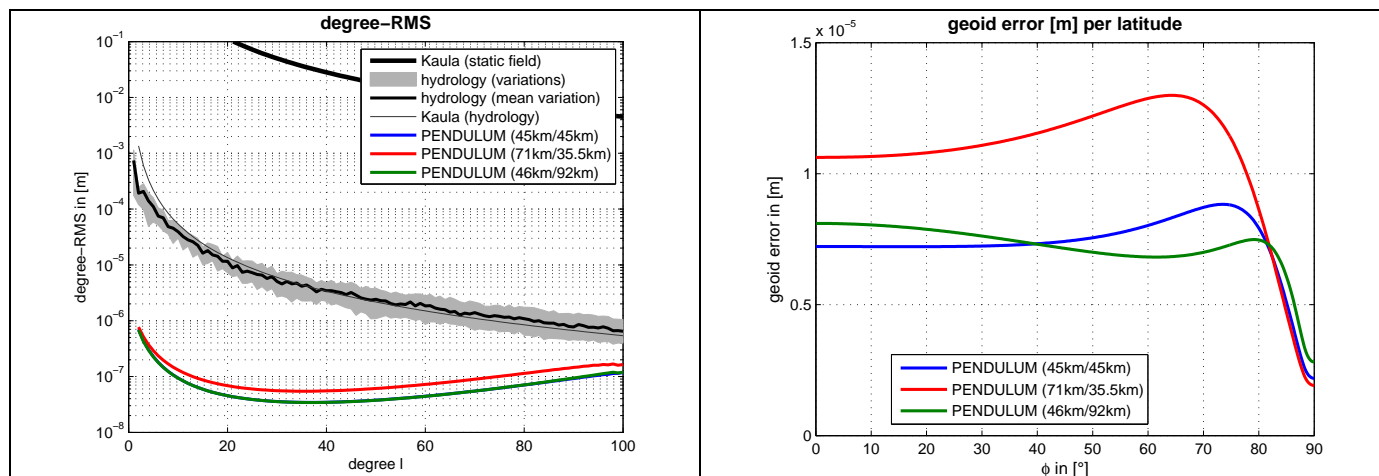
**Figure 4-19** and **Figure 4-20** show the results for the three types of Pendulums with yaw angles  $\alpha = 23^\circ/45^\circ/67^\circ$  investigated in this section. From the degree-RMS and geoid errors per latitude it is clearly visible that the Pendulum with the yaw angle below  $45^\circ$  shows the worst performance while the performance of the other two Pendulums with  $\alpha \geq 45^\circ$  is similar. The reason is that the Pendulum with  $\alpha = 23^\circ$  never reaches nowhere full isotropy while the others do. This can also be seen in the covariance functions, where the North-South-direction is more pronounced and in the

formal error plots, where the typical characteristic of an inline GRACE-like formation (higher accuracy at lower orders) is present. But still a Pendulum with a lower yaw angle  $\alpha$  leads to a significant improvement compared to an inline-formation.

The comparison of the results of the Pendulums with  $\alpha = 45^\circ$  and  $\alpha = 67^\circ$  yields that the performance in terms of degree-RMS is almost similar. While the geoid errors per latitude for the pendulum with  $\alpha = 45^\circ$  are more accurate over the equator and low latitude regions the Pendulum with  $\alpha = 67^\circ$  is more accurate over mid-latitude regions. The reason is the place where the isotropy is maximal. This can be seen in the covariance functions. While the covariance function at  $\phi = 0^\circ$  is quite isotropic for the pendulum with  $\alpha = 45^\circ$  it shows an East-West emphasis for the Pendulum with  $\alpha = 67^\circ$  (which will become more isotropic for mid-latitudes). From the formal error plots it can be gathered that a larger yaw-angle  $\alpha$  leads to a higher accuracy of the coefficients of higher orders due to a stronger appearance of cross-track signals and vice versa. A yaw angle of  $\alpha = 45^\circ$  leads to a similar accuracy over all orders of one degree (largest homogeneity). In general a yaw angle  $\alpha$  between of  $45^\circ$  and  $67^\circ$  can be suggested, but since a larger yaw angle means larger technological efforts (pointing/tracing) a Pendulum with  $\alpha = 45^\circ$  is suggested.



**Figure 4-19:** comparison of PENDULUMs with different maximum yaw angles  $\alpha$  ( $\rho_{\text{avg}} = 75 \text{ km}$ ,  $I = 90^\circ$ ,  $\text{PSD} = 1^{-10} [\text{m/s}^2/\sqrt{\text{Hz}}]$ ,  $T = 32 \text{ d}$ ,  $h = 335 \text{ km}$ ).



**Figure 4-20:** comparison of different PENDULUMs in terms of degree-RMS and geoid errors per latitude

### 4.5.3 Comparison of CARTWHEELS

The shape of a Cartwheel formation is fixed as a 2:1 along-/cross-track ellipse within the Hill-frame with the given value for the maximum along-track component  $p_x$  and the maximum radial component  $p_r$  respectively. Although the shape is fixed the orientation of the Cartwheel w.r.t. the Earth can be different. For instance the Cartwheel can be established such that the maximum radial component appears over the equator and the maximum along-track-component appears over the poles and vice versa. In principle the Cartwheel can be implemented such that the maximum radial component emerges over any latitude and the maximum along-track component appears a quarter of a revolution later. Strictly speaking a Cartwheel captures all orientations during a mission lifetime if the orbit inclination deviates from  $I = 63^\circ$ , because the orientation starts to turn due to the perigee drift, if no compensation is foreseen. For instance for a near polar orbit the perigee drift is  $4^\circ$  so that after 90 days the orientation corresponds to the original one again. In this section three orientations are investigated, the first one has the maximum radial component over the equator (equatorial radial), the second one shows it over the pole (polar radial) and the third one has it over mid latitudes of  $\phi = \pm 45^\circ$  (of course also the maximum along-track component appears over mid latitudes of  $\phi = \pm 45^\circ$ ).

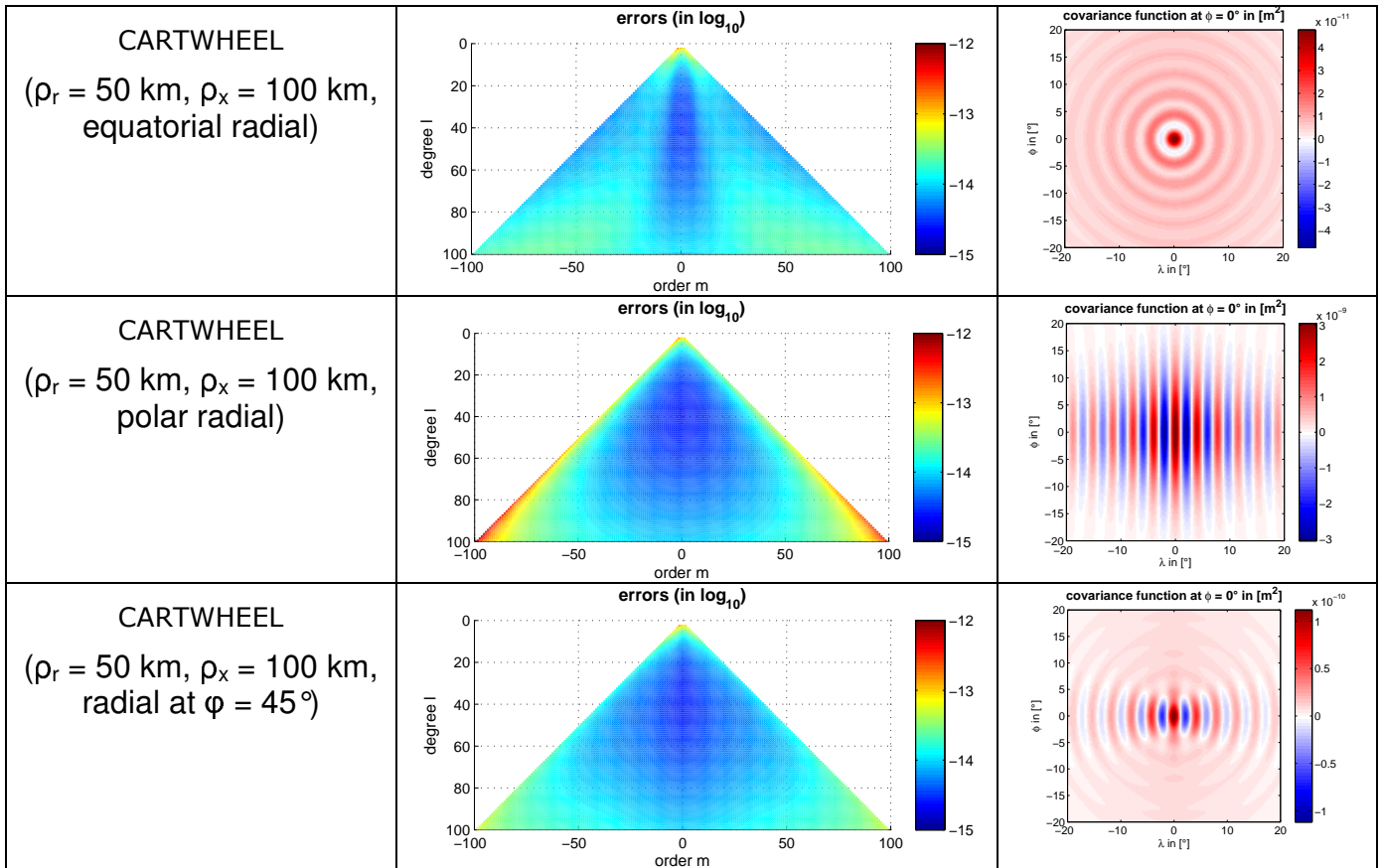
The results are displayed in **Figure 4-21** and **Figure 4-22**. From the degree-RMS it can be seen that the polar radial Cartwheel shows clearly the worst performance while the other two orientations show a similar accuracy. The explanation of the reduced sensitivity of the polar radial orientation can be found in the covariance function, which shows the well-known anisotropic North-South stripes. The reason is that the signal over the equator and the low latitudes contains almost only along-track-information, which leads to a reduced geoid accuracy for lower latitudes. Only for higher latitudes  $\phi > 45^\circ$  the geoid error is reduced significantly since over these regions the signal now only contains radial information (less anisotropy). The results for the lower latitudes are quite similar as for an inline-formation, and this can be seen also in the formal error plots, where the lower order coefficients show the highest accuracy. However the radial information over the higher latitudes adds some valuable information for coefficients of higher orders.

Regarding the formal error plots and the degree-RMS the Cartwheels with 'equatorial radial' and 'radial over  $\phi = \pm 45^\circ$ ' orientation show very similar results with slight advantages of the 'radial over  $\phi = \pm 45^\circ$ ' Cartwheel. In contrast to the inline-formations also coefficients of medium orders show improved accuracy. Concerning the geoid errors per latitude the 'equatorial radial' Cartwheel is more accurate over low latitude regions and the 'radial over  $\phi = \pm 45^\circ$ ' Cartwheel is more sensitive over medium and higher latitudes. This can also be seen in the covariance functions, where in principle full isotropy is reached for the 'equatorial radial' Cartwheel and a slight North-South structure is visible for the 'radial over  $\phi = \pm 45^\circ$ ' Cartwheel.

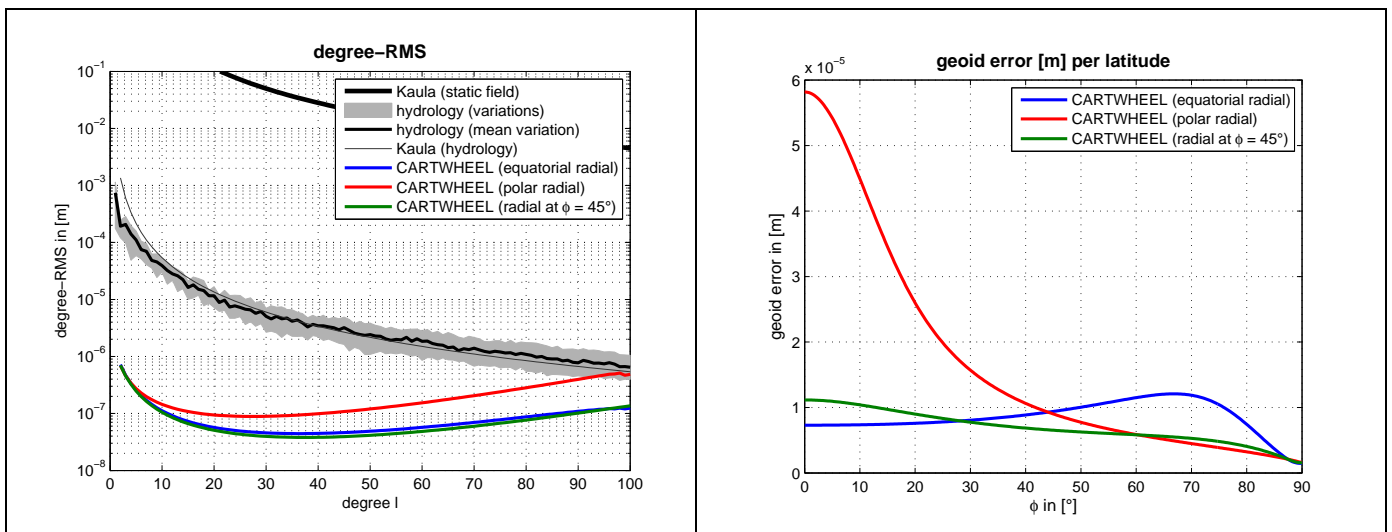
The results show that the maximum radial component of a Cartwheel should be  $0^\circ \leq \phi \leq 45^\circ$ . Since an important topic of a future mission is hydrology, which has very strong signals over very low



latitudes an 'equatorial radial' orientation or 'very low latitude radial' Cartwheel might be desired. But anyway it has to be considered that the orientation of the Cartwheel is not fixed due to the perigee drift.



**Figure 4-21:** comparison of CARTWHEELS with different orientations ( $\rho_{\text{avg}} = 75 \text{ km}$ ,  $I = 90^\circ$ ,  $\text{PSD} = 1^{-10} [\text{m/s}^2/\sqrt{\text{Hz}}]$ ,  $T = 32 \text{ d}$ ,  $h = 335 \text{ km}$ ).



**Figure 4-22:** comparison of different CARTWHEELS in terms of degree-RMS and geoid errors per latitude

#### 4.5.4 Results from investigations of formations

From the investigation of the different formations of the previous subsections the following conclusions can be drawn:

- Pendulum and Cartwheel formations are able to improve sensitivity and isotropy compared to GRACE formations. If a suited option for both formations is chosen, the accuracy is similar for both (with slight advantages for the Cartwheel).
- the most accurate, isotropic and homogeneous results for Pendulums are obtained for yaw angles of  $\alpha \geq 45^\circ$ . A yaw angle of  $\alpha = 45^\circ$  seems to be a good compromise between sensitivity and technical feasibility.
- the most accurate, isotropic and homogeneous results for Cartwheels are obtained if the maximum radial component appears over regions  $0^\circ \leq \varphi \leq 45^\circ$
- by means of the advanced formations and the assumed noise levels a resolution of the time variable hydrology up to degrees  $l \geq 100$  should be possible (see **Figure 4-18**). (Of course this conclusion has to be taken with care since the degree-RMS is a global measure. Locally a higher resolution for hydrology should be possible.)

Note: The above conclusions are valid for the sensor noise. Concerning aliasing of time variable signals the results can be different.

#### 4.6 Sensitivity of Bender-design

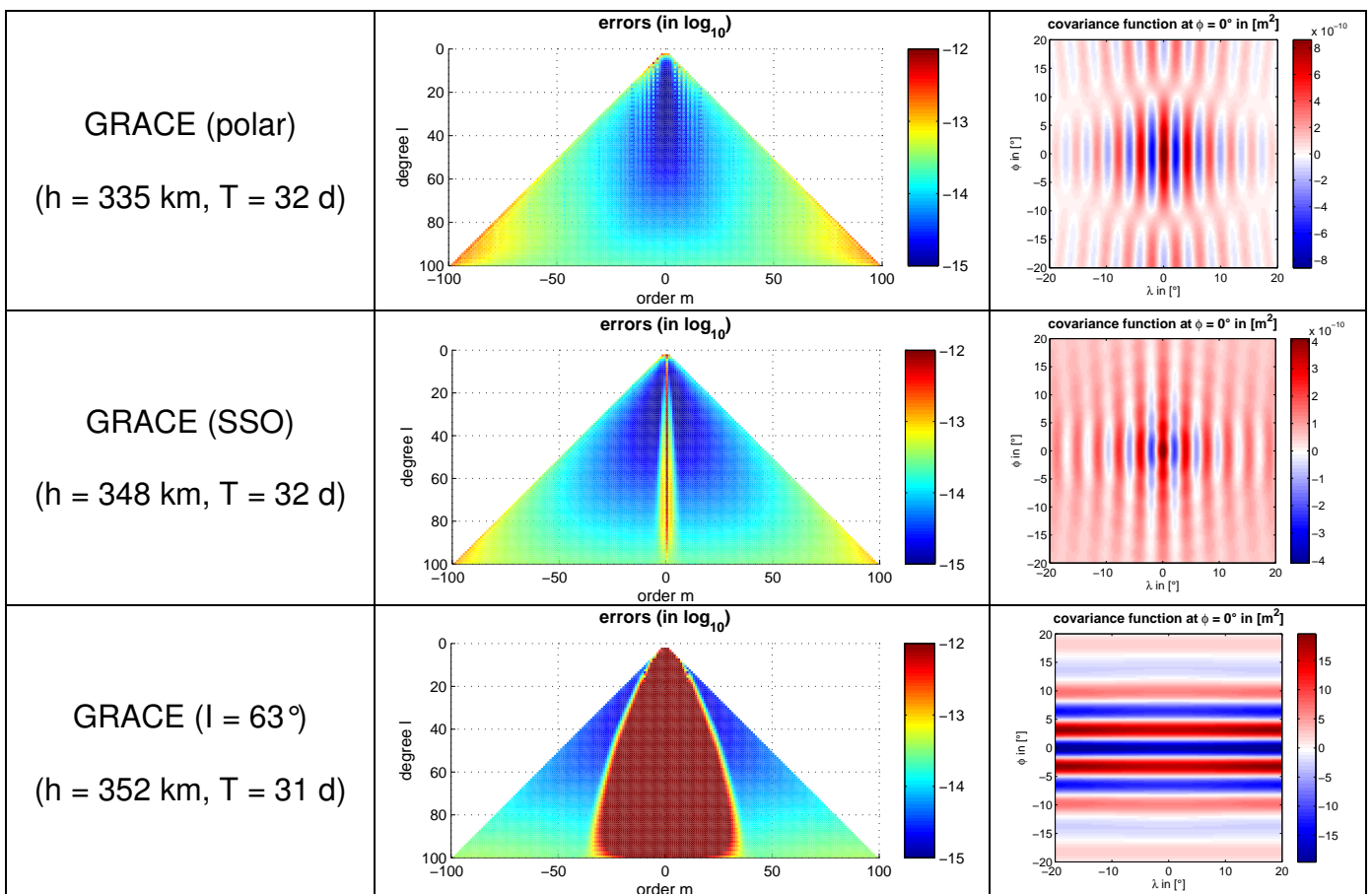
When designing a future satellite mission also the option of a multi-formation has to be studied. A multi-formation mission might be able to improve temporal and/or spatial sampling, dependent on the orbit-design (see [RD-1]). One promising option is the combination of formation on orbits with different repeat modes and inclination. Such an heterogeneous mission design, also known as Bender-design, might have a lot of benefits concerning the spatial and temporal sampling (see [RD-1]). For instance the groundtracks of a near polar orbit, which show large spacing at lower latitudes can be densified in this regions by means of inclined formations. Another aspect is the different (temporal) aliasing behaviour (e.g. for ocean tides) for different orbits, so that aliasing can be reduced or ocean tides can even be estimated. In this subsection the sensitivity of such Bender-formations is studied using always a polar satellite pair in combination with a sun-synchronous pair or a low inclined pair ( $I = 63^\circ$ ). The parameters used for this investigation are those used in the first full-scale simulation series (see TN from DEOS), applying always an intersatellite distance of  $\rho = 75$  km. The orbit height and time interval are for the polar pair  $h = 335$  km,  $T = 32$  d ( $\beta/\alpha = 503/32$ ), for the sun synchronous pair  $h = 348$  km,  $T = 32$  d ( $\beta/\alpha = 503/32$ ) and for the low inclination pair  $h = 352$  km,  $T = 31$  d ( $\beta/\alpha = 481/31$ ). In section 4.6.1 Bender-designs using only inline-formations are studied based on the new reference for noise. In section 4.6.2 Bender-options applying also the advanced formations (mixed Bender missions) are investigated for the mean noise level of  $10^{-10} [\text{m/s}^2/\sqrt{\text{Hz}}]$ . For the mixed Bender missions always a inline formation was set on the polar orbit.

##### 4.6.1 Sensitivity for coloured noise (only GRACE-BENDER)

First the characteristics of inline-formations on different inclinations is investigated before they are combined to a Bender-mission. **Figure 4-23** displays the formal error plots and covariance functions for the three different inclinations investigated. As already known the accuracy of the low order coefficients is reduced dramatically for a sun-synchronous orbit due to polar data gap. This effect amplifies if the inclination deviates more from a polar orbit and the data gap grows larger. For the low inclination already coefficients of orders up to  $m = 20$  or  $30$  can not be determined any more or have a bad accuracy. On the other hand the coefficients of higher orders are improved for inclined satellite pairs, as the formal error plots show. This is caused by the growing intersection angle of ascending and descending arcs which lead to a better isotropy since now also East-West-Signal-Information is added. This can be also seen in the geoid-error per latitude for an SSO, where the

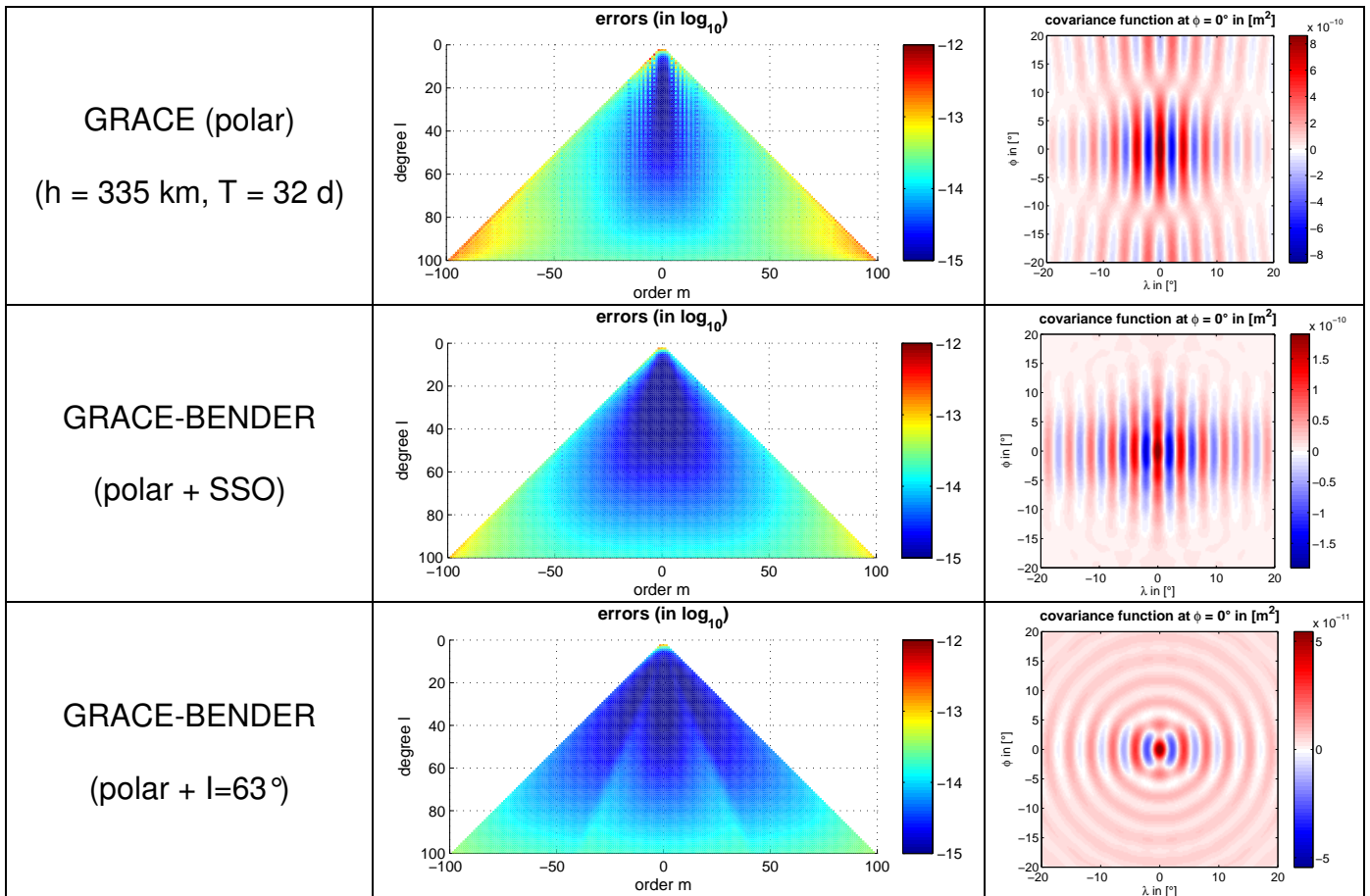
error is reduced for lower latitudes compared to a polar orbit. Furthermore a slight circular structure seems to emerge in the covariance functions of the SSO (the covariance function for  $I = 63^\circ$  is not meaningful because it is contaminated by the polar gap, which is a drawback of the software applied and should be ignored therefore).

It can be seen that by combining pairs on different inclinations (Bender-design) complementary information is merged, which might lead to a significant improvement. **Figure 4-24** and **Figure 4-25** show the results obtained for the two (GRACE-)Bender designs (polar pair + SSO pair, polar pair +  $I=63^\circ$  pair) compared to the single polar pair. The degree-RMS, the geoid-errors per latitude and the formal errors show that already a Bender combination of a polar and sun-synchronous pair will lead to a significant improvement compared to a single polar pair. For the Bender-combination of a polar and a low inclined pair ( $I = 63^\circ$ ) the improvement is even larger. Especially for the latter combination the results seem to be quite homogeneous with a quite unique geoid error per latitude over all latitudes and a similar error level over all orders of one degree. The covariance functions show that the latter Bender-combination leads already to quite isotropic errors. In summary it can be concluded that a big benefit for the sensitivity can be expected for Bender-combinations, especially if one satellite-pair flies on an orbit with low inclination, but also a combination of polar and sun-synchronous orbit is valuable.

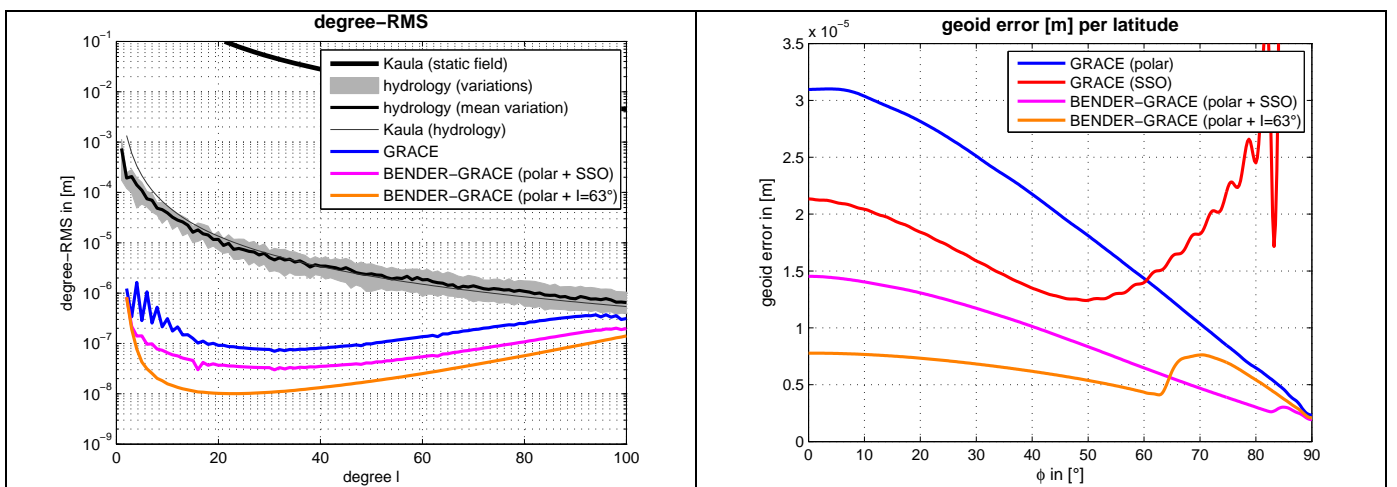


**Figure 4-23:** formal errors and covariance functions of inline-formations with different inclinations ( $\rho = 75$  km, new reference for noise)





**Figure 4-24:** comparison of single polar inline-formation and combinations of inline-formation with different inclinations (BENDER-design); formal errors and covariance functions



**Figure 4-25:** comparison of single polar inline-formation and combinations of inline-formation with different inclinations (BENDER-design); degree-RMS and geoid errors per latitude

#### **4.6.2 Sensitivity for white noise**

In this section mixed Bender combinations using a polar inline-formation and different formations (inline, Pendulum, Cartwheel) on an inclined orbit (SSO,  $I = 63^\circ$ ). First the sensitivity of the three types of formations for the different inclinations (polar, sun-synchronous,  $I = 63^\circ$ ) is investigated in terms of formal error plots in **Figure 4-26**. As mentioned in the previous subsection the low order coefficients again are very inaccurate or can not be determined for inclined orbit, and depending on the inclination this effect grows. For the inline- and Cartwheel-formations a similar effect can be observed. For both the error spectrum is shifted to higher orders if the inclinations deviates more from a polar orbit. This is due to fact that East-West information is added when the intersection angle between ascending and descending orbits grows, which adds more isotropy and thus effects the higher orders in a positive way. Again, as mentioned in the previous section, a combination of such pairs in different inclinations might be very valuable due to the combination of complementary information.

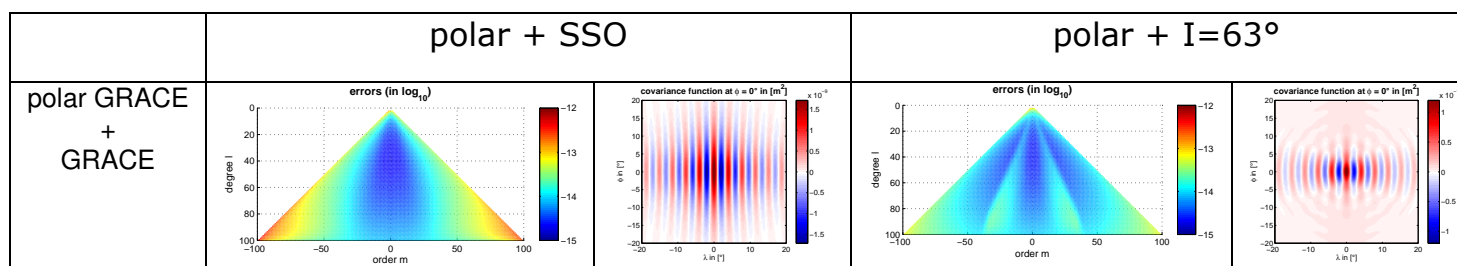
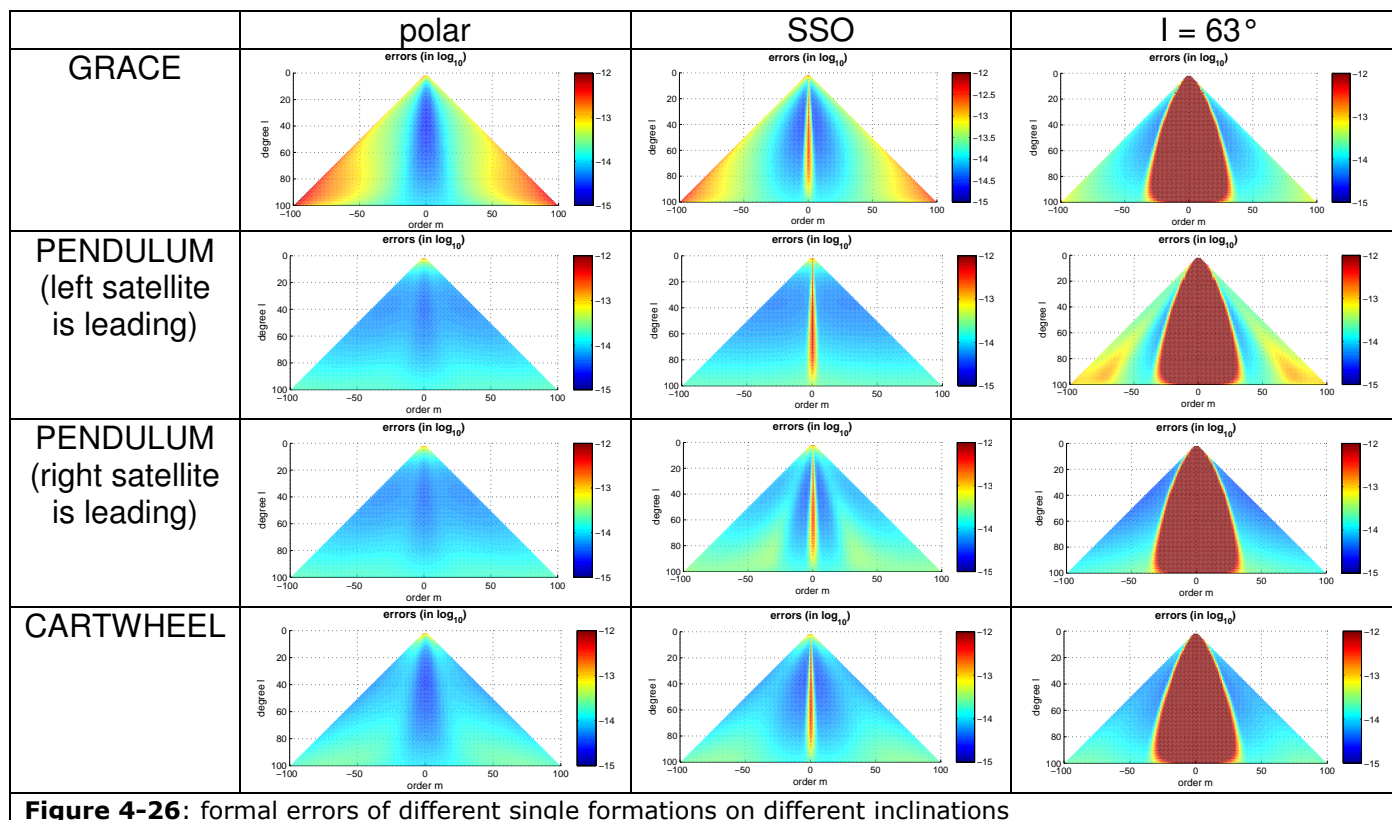
For the Pendulums the behaviour for different inclinations is different dependent on which satellite (left or right) is the leader. This is visualised in **Figure 4-29**. In case of a polar formation there is no dependence on the leading satellite since the useful cross-track-information gathered is the same for both types (see also results in **Figure 4-26**). In contrast for an inclined orbit there is a dependence on the inclination. If the inclination is  $I < 90^\circ$  there seems to be an advantage if the right satellite is the leader. As visible in **Figure 4-29** the signal contains a large East-West component over the equator while it only contains a small East-West component in case the left satellite is leading. For the case of inclinations  $I > 90^\circ$ , e.g. a sun-synchronous orbit, there seems to be a benefit if the left satellite is the leader. This is displayed in **Figure 4-29** where the Pendulum with the left satellite as a leader now senses more East-West signal over the equator while a Pendulum with a right leading satellite gathers less East-West information. The presumptions drawn from **Figure 4-29** are proved by the formal error plots in **Figure 4-26**. Here the Pendulum formations with a left leader for the SSO and a right leader for  $I = 63^\circ$  show a good performance with an improvement of the higher orders compared to the polar Pendulum, which is due to the enhanced isotropy (and sensitivity). In contrast a left leader for  $I = 63^\circ$  and a right leader for an SSO diminish the accuracy of the higher orders compared to a polar Pendulum due to reduced isotropy (and sensitivity) and thus should not be applied in a Bender-combination.

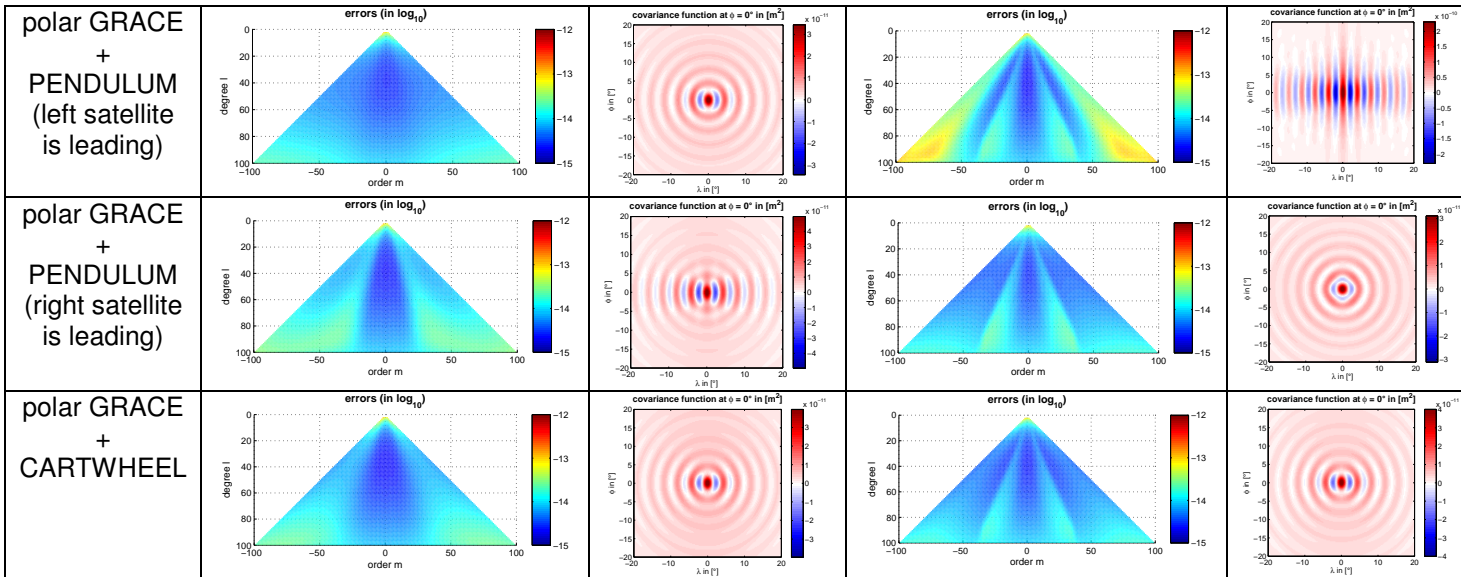
The results for the mixed Bender-missions are shown in **Figure 4-27** in terms of degree-RMS and covariance-functions and in **Figure 4-28** for selected cases in terms of degree-RMS and geoid errors per latitude. As illustrated by the degree-RMS curves the Bender-combinations lead to an improvement of approximately one order of magnitude compared to the single polar inline-formation. Here the Bender-mission composed of two inline-formations (polar +  $I=63^\circ$ ) already shows a good performance which can be exceeded by the mixed combinations only by a factor of 2. But in contrast to the pure inline Bender combination the mixed Bender missions show a higher isotropy (see covariance functions). For all mixed cases the covariance functions are almost perfectly circular except for those applying the problematic Pendulum configurations (left leading satellite for  $I = 63^\circ$ , right leading satellite for SSO) mentioned before. For instance the Bender mission composed of the polar inline formation and a Pendulum with a left leader at  $I = 63^\circ$  shows a worse accuracy (sensitivity + isotropy) as the pure inline Bender mission. The most homogeneous formal error plots are obtained for the mixed cases (inline + Pendulum/Cartwheel) combining a polar orbit and a SSO, where the mixed combination of a polar inline formation and a SSO Pendulum (left leader) is outperforming the mixed Bender mission with the SSO-Cartwheel. For the mixed combinations of a polar orbit and a low inclination orbit ( $I = 63^\circ$ ) structures with reduced accuracy at low/medium orders are visible which originate from the huge polar data gap of the inclined orbit. Both combinations lead to a similar accuracy regarding the different types of error plots shown. Concerning the geoid errors per latitude similar conclusions can be drawn. While the combinations of polar and low inclination orbits ( $I = 63^\circ$ ) are very accurate in mid latitude regions due to the dense groundtrack coverage and less accurate over higher latitude regions due to the polar data gap of the inclined formations the geoid errors per latitude are more homogeneous for the combinations of polar orbits and SSO since the effects mentioned afore are less pronounced. The geoid errors per

latitude for all combinations of the polar inline-formation and a low inclined formation (inline, Pendulum, Cartwheel) are quite similar except for the lower latitude where the pure inline Bender mission is worse by factor of 2. The best and most homogeneous behaviour concerning the geoid errors per latitude seems to be obtained by the mixed Bender combination of the polar inline formation and a SSO Pendulum with a left leader.

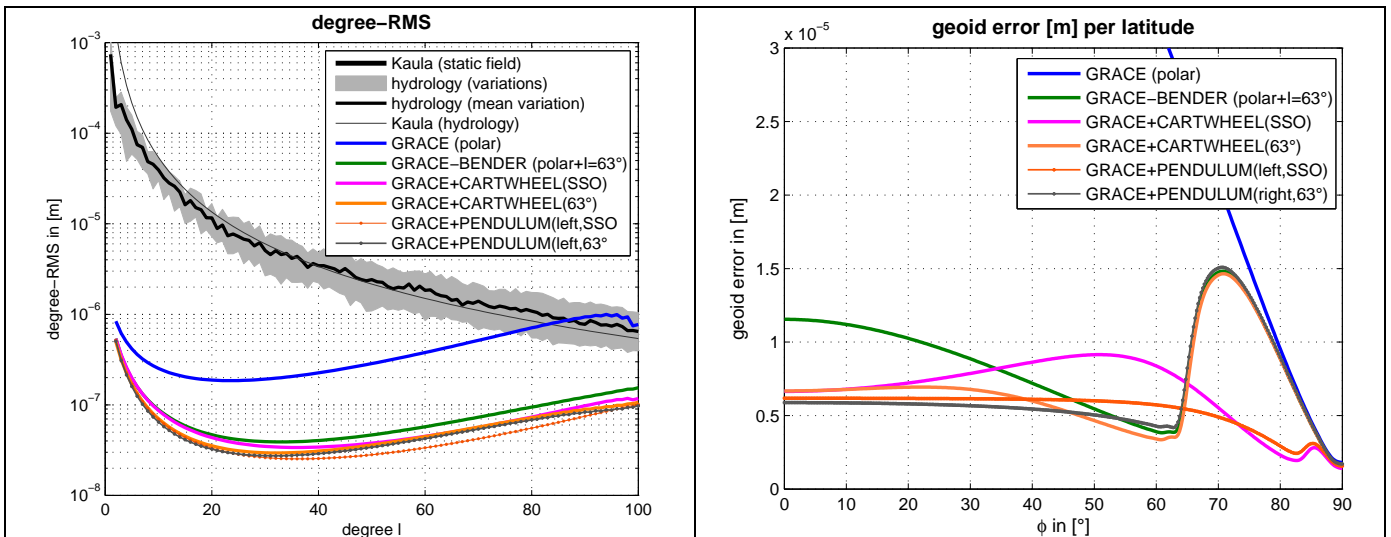
From the investigations in subsection 4.6 the following conclusions can be drawn concerning the Bender-design:

- combination of satellite formations with different inclinations with lead to complementary information seems to be most promising
- it has to be taken care of which satellite is the leader at inclined Pendulums
- promising results are obtained by the combination of a polar inline-formation with inclined inline/Pendulum/Cartwheel formations, especially for the combination with an SSO Pendulum/Cartwheel.
- Most promising seems to be the combination of a polar inline-formation with a SSO Pendulum

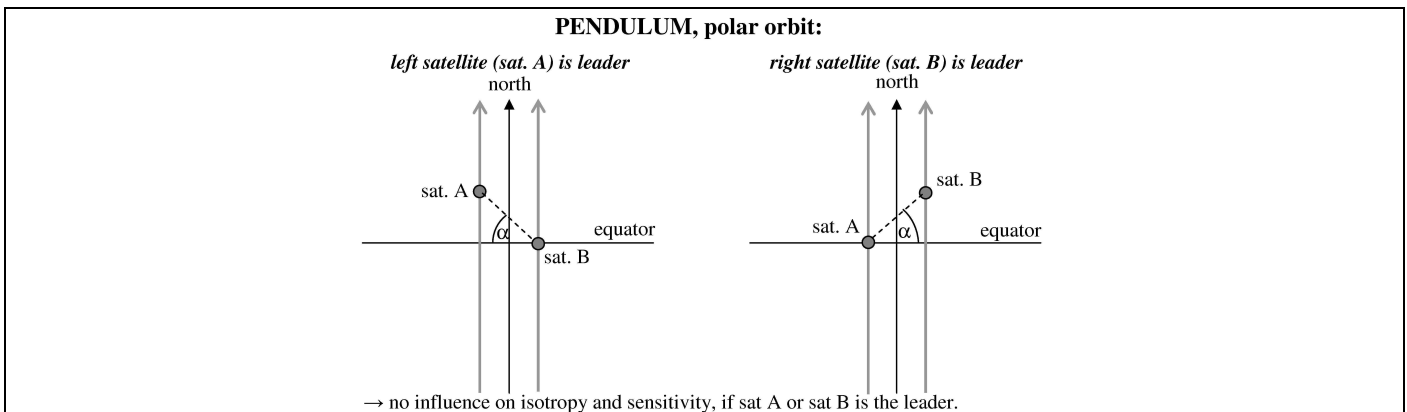


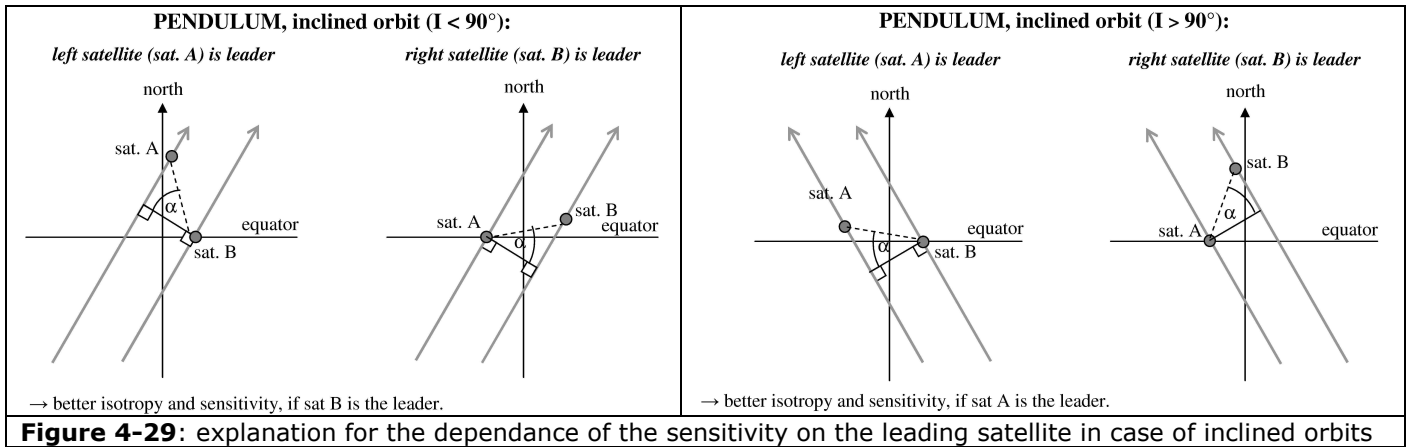


**Figure 4-27:** comparison of different mixed BENDER-constellations (polar GRACE + formation on SSO/ $I=63^\circ$ )



**Figure 4-28:** comparison of different mixed BENDER-constellations in terms of degree-RMS and geoid errors per latitude.





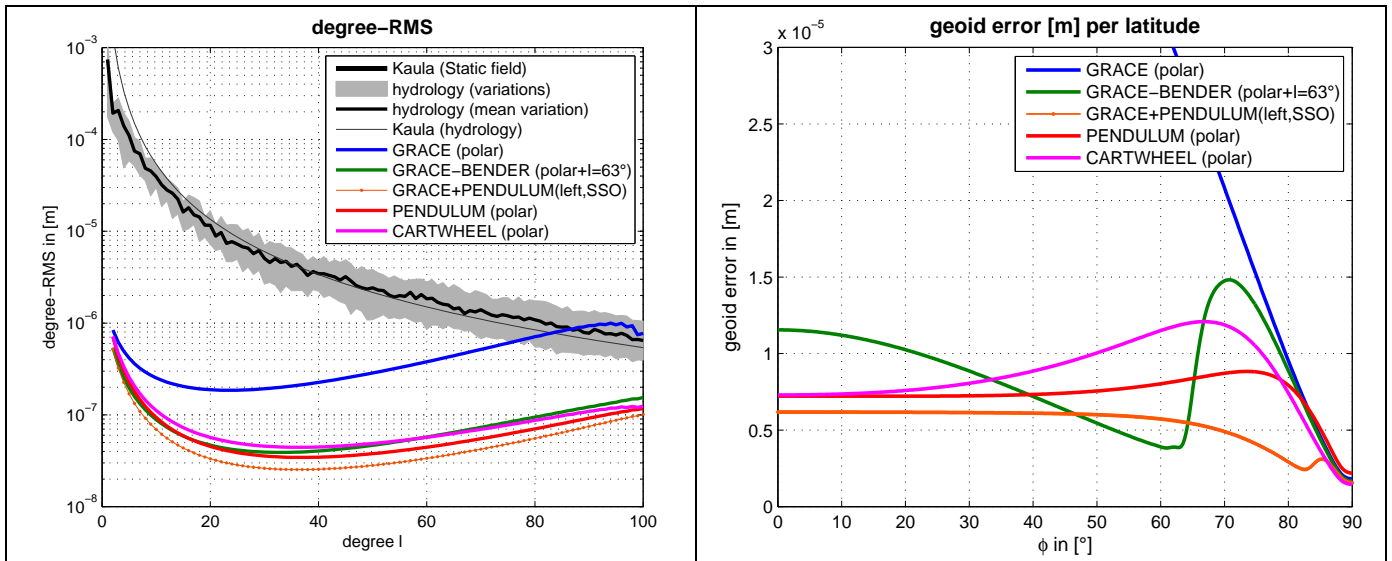
**Figure 4-29:** explanation for the dependance of the sensitivity on the leading satellite in case of inclined orbits

## 4.7 Single formation versus Bender-design

In the design of a future mission the complexity, risk and costs have been regarded. At the Requirements Review Meeting at 19th November 2009 at ThalesAlenia in Torino a ranking of future mission options was provided by Alenia. According to this ranking a polar inline-formation was regarded as the most feasible one followed by the polar Pendulum and Cartwheel (both ranked similar). As more complex the Bender-formations are regarded, where a mixed Bender-formation seems to be the most difficult to realise. Hence a future mission is always a compromise between costs/risks and sensitivity. For this reason a comparison of selected formations and Bender-designs is done in this section.

**Figure 4-30** shows the comparison of a single polar inline formation with the single polar advanced formations Pendulum/Cartwheel with an inline Bender combination (polar +  $I=63^\circ$ ) and the mixed Bender-combination with a polar inline-formation and an SSO-Pendulum. It can be seen that both, the advanced formations and the Bender-designs lead to an improvement of approximately one order of magnitude compared to the single polar inline-formation. Thereby the inline-Bender mission seems to perform slightly worse than the single advanced formations and thus seems to be no option regarding the risk/cost ranking. Concerning the advanced formations the Pendulum seems to have advantages compared to the Cartwheel due to a slightly higher accuracy and the stability/feasibility of the formation. The improvement of the mixed Bender-design compared to the single Pendulum is at most a factor of 2, mainly over higher latitudes. Although this improvement seems to be quite less it might be important for science. If the higher costs of the mixed Bender-design seems to be viable compared to a single Pendulum the mixed Bender design is suggested, otherwise a single polar Pendulum seems to be the best solution.





**Figure 4-30:** comparison of single formations with Bender-design

## ACRONYMS

|        |                                                   |
|--------|---------------------------------------------------|
| DEOS   | Delft-Institute for Earth Oriented Space Research |
| GIS    | Geodetic Institute, University of Stuttgart       |
| GRACE  | Gravity Recovery And Climate Experiment           |
| LEO    | Low Earth Orbit                                   |
| II-SST | low-low Satellite to Satellite Tracking           |
| PSD    | Power Spectral Density                            |
| QLT    | Quick Look Tool                                   |
| RD     | Reference Document                                |
| RMS    | Root Mean Square                                  |
| RRM    | Requirements Review Meeting                       |
| SGG    | Satellite Gradiometry                             |
| SH     | Spherical Harmonics                               |
| SSO    | Sun Synchronous Orbit                             |
| SST    | Satellite to Satellite Tracking                   |
| TU     | Technical University                              |



REFERENCE : TN6-WP2420-GIS

DATE : 10<sup>th</sup> August 2010

ISSUE : draft **Page : 36/36**

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